CSE 114A

Introduction to Functional Programming

Lambda Calculus

The same of the sa	
1930s	
. What is computable	7
Princeton NJ	Cambridge, UK
Aloneo Church C-T thesis	=
	Alan Turing
Lambda Calculus)	
	Turing machine
e ::= p/2c.e	
(P. (Pz)	(D) [F5M (D)
x	Machine level
	machine code
In pray lang memory	asm lang
Lisp (mem safe lang)	C Fafortran Cobol
	C++ Pascal
Ruchet	ava C#
Haskell Python	n /
ML	
Ocam!	
Scata IV	Imperative
Scala F#	

Your favorite language

- Probably has lots of features:
 - ?Assignment (x = x + 1)
 - ?Booleans, integers, characters, strings,...?
 - Conditionals
 - ?Loops,? return, break, continue
 - ? Functions
 - ? Recursion
 - ? References / pointers
 - ? Objects and classes
 - ?Inheritance
 - ... and more

The Lambda Calculus

- Features
 - ? Functions
 - (that's it)

The Lambda Calculus

- Seriously...
 - **?** Assignment (x = x + 1)
 - PBooleans, integers, characters, strings,... ?
 - Conditionals
 - ?Loops,? return, break, continue
 - ? Functions
 - Recursion
 - ? References / pointer
 - ? Objects and classes
 - **?**Inheritance
 - ... and more

The only thing you can do is: **Define** a function **Call** a function

Describing a Programming Language

- Syntax
 - What do programs *look like*?
- Semantics
 - What do programs *mean*?
 - Operational semantics:
 - How do programs execute step-by-step?

Syntax: What programs look like

```
e ::= x
| \x -> e
| e1 e2
```

- A set of expressions e (aka programs or λ -terms)
- There are three kinds of expressions
 - Variables: eg x, y, z
 - Function definitions (aka abstractions) \x -> e
 - x is the formal parameter, e is the body
 - Function calls (aka application) e1 e2
 - e1 is the function, e2 is the argument

Examples

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

- \bigcirc A. $\backslash(\backslash x \rightarrow x) \rightarrow y$
- B. \x -> x x
- \bigcirc C. $\x -> x (y x)$
- A and C
- All of the above



http://tiny.cc/cse116-lambda-ind

QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

- \bigcirc A. $\backslash(\backslash x \rightarrow x) \rightarrow y$
- \bigcirc B. $\xspace x x$
- \bigcirc C. $\x -> x (y x)$
- A and C
- All of the above



http://tiny.cc/cse116-lambda-grp

Semantics: Scope of a Variable

- The part of a program where a variable is visible
- In the expression \x -> e
 - x is the newly introduced variable
 - e is the scope of x
 - any occurrence of x in \x -> e is bound (by the binder \x)

Semantics: Scope of a Variable

• For example, x is **bound** in:

```
\x -> x
\x -> (\y -> x)
```

- An occurrence of x in e is free if it's not bound by an enclosing abstraction
- For example, x is **free** in:

QUIZ: Variable scope

In the expression $(\x -> x)$ x, is x bound or free? *

- A. bound
- B. free
- C. first occurrence is bound, second is free
- D. first occurrence is bound, second and third are free
- E. first two occurrences are bound, third is free



http://tiny.cc/cse116-scope-ind

QUIZ: Variable scope

In the expression $(\x -> x)$ x, is x bound or free? *

- A. bound
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- E. first two occurrences are bound, third is free



http://tiny.cc/cse116-scope-grp

Free Variables

- An variable x is free in e if there exists a free occurrence of x in e
- We can formally define the set of all free variables in a term like so:

```
FV(x) = ???
FV(\x -> e) = ???
FV(e1 e2) = ???
```

Free Variables

- An variable x is free in e if there exists a free occurrence of x in e
- We can formally define the set of all free variables in a term like so:

```
FV(x) = \{x\}

FV(\x -> e) = FV(e) - \{x\}

FV(e1 \ e2) = FV(e1) \cup FV(e2)
```

Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - Q: What is the *shortest* closed expression?

Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - Q: What is the *shortest* closed expression?
 - A: \x -> x

Semantics: What programs mean

- How do I "run" or "execute" a λ -term?
- Think of middle-school algebra:

```
-- Simplify expression:

(x + 2)*(3*x - 1)

=

???
```

• **Execute** = rewrite step-by-step following simple rules until no more rules apply

Rewrite rules of lambda calculus

- 1. α-step (aka renaming formals)
- 2. B-step (aka function call)

Semantics: B-Reduction

```
(\x -> e1) e2 =b> e1[x := e2]
where e1[x := e2] means "e1 with all free occurrences
of x replaced with e2"
```

- Computation by search-and-replace:
 - If you see an abstraction applied to an argument, take the body of the abstraction and replace all free occurrences of the formal by that argument
 - We say that $(\x -> e1)$ e2 β -steps to e1[x := e2]

Examples

```
(\x -> x) apple
=b> apple
```

Is this right? Ask Elsa!

```
(\f -> f (\x -> x)) (give apple)
=b> ???
```

Examples

```
(\x -> x) apple
=b> apple
```

Is this right? Ask Elsa!

```
(\f -> f (\x -> x)) (give apple)
=b> give apple (\x -> x)
```

(x -> (y -> y)) apple =b> ??? *

- A. apple
- B. \y -> apple
- \bigcirc C. $\x -> apple$
- D. \y -> y
- E. \x -> y



http://tiny.cc/cse116-beta1-ind

(\x -> (\y -> y)) apple =b> ??? *

- A. apple
- B. \y -> apple
- \bigcirc C. $\x -> apple$
- D. \y -> y
- E. \x -> y



http://tiny.cc/cse116-beta1-grp

(x -> x (x -> x)) apple =b> ??? *

- \bigcirc A. apple (\x -> x)
- B. apple (\apple -> apple)
- \bigcirc C. apple (\x -> apple)
- O. apple
- E. \x -> x



http://tiny.cc/cse116-beta2-ind

 $(\x -> x (\x -> x))$ apple =b> ??? *

- \bigcirc A. apple (\x -> x)
- B. apple (\apple -> apple)
- \bigcirc C. apple (\x -> apple)
- O. apple
- E. \x -> x



http://tiny.cc/cse116-beta2-grp



A Tricky One

```
(\x -> (\y -> x)) y
=b> (\y -> y)
```

Is this right?

Problem: the free y in the argument has been *captured* by \y!

Solution: make sure that all *free variables* of the argument are different from the *binders* in the body.

Capture-Avoiding Substitution

We have to fix our definition of B-reduction:

```
(\x -> e1) e2 =b> e1[x := e2]
where e1[x := e2] means "e1 with all free occurrences
of x replaced with e2"
```

- e1 with all free occurrences of x replaced with e2, as long as no free variables of e2 get captured
- undefined otherwise

Capture-Avoiding Substitution

Formally:

Semantics: α-Reduction

Semantics: α-Reduction

```
\xspace x -> e = a> \xspace y -> e[x := y]
where y not in FV(e)
```

- We can rename a formal parameter and replace all its occurrences in the body
- We say that $(\x -> e)$ a-steps to $(\y -> e[x := y])$

Semantics: α-Reduction

```
\x -> e =a> \y -> e[x := y]
where y not in FV(e)
```

• Example:

All these expressions are α-equivalent

Example

What's wrong with these?

```
-- (A)
\f -> f x =a> \x -> x x

-- (B)
(\x -> \y -> y) y =a> (\x -> \z -> z) z

-- (C)
\x -> \y -> x y =a> \apple -> \orange -> apple orange
```

The Tricky One

```
(\x -> (\y -> x)) y
=a> ???
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

The Tricky One

```
(\x -> (\y -> x)) y
=a> (\x -> (\z -> x)) y
=b> \z -> y
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

Normal Forms

A **redex** is a λ -term of the form

$$(\x -> e1) e2$$

A λ -term is in **normal form** if it contains no redexes.

QUIZ: Normal form

Which of the following terms are not in normal form?*

- A. x
- B. x y
- O. (\x -> x) y
- $\bigcirc D. x (\y -> y)$
- E. C and D



http://tiny.cc/cse116-norm-ind

QUIZ: Normal form

Which of the following terms are not in normal form? *

- A. x
- B. x y
- C. (\x -> x) y
- $\bigcirc D. x (\y -> y)$
- E. C and D



http://tiny.cc/cse116-norm-grp

Semantics: Evaluation

- A λ -term e evaluates to e' if
 - 1. There is a sequence of steps

where each =?> is either =a> or =b>

2. e' is in normal form

Example of evaluation

```
(\x -> x) apple
  =b> apple
(\f -> f (\x -> x)) (\x -> x)
  = 5 > 555
(\x -> x x) (\x -> x)
  = 5 > 555
```

Example of evaluation

```
(\x -> x) apple
  =b> apple
(\f -> f (\x -> x)) (\x -> x)
  =b>(\xspace(\xspace) x -> x)(\xspace(\xspace) x -> x)
  =b> \xspace x
(\x -> x x) (\x -> x)
  = 5 > 555
```

Example of evaluation

```
(\x -> x) apple
  =b> apple
(\f -> f (\x -> x)) (\x -> x)
  =b>(\xspace(\xspace) x -> x)(\xspace(\xspace) x -> x)
  =b> \xspace x
(\x -> x x) (\x -> x)
  =b>(\xspace(\xspace) x -> x)(\xspace(\xspace) x -> x)
  =b> \x -> x
```

Elsa shortcuts

Named λ-terms

```
let ID = \x -> x -- abbreviation for <math>\x -> x
```

 To substitute a name with its definition, use a =d> step:

Elsa shortcuts

- Evaluation
 - e1 =*> e2: e1 reduces to e2 in 0 or more steps
 - where each step is =a>, =b>, or =d>
 - e1 =~> e2: e1 evaluates to e2
- What is the difference?

Non-Terminating Evaluation

```
=b> ???
```

Non-Terminating Evaluation

```
(\x -> x x) (\x -> x x)
=b> (\x -> x x) (\x -> x x)
```

- Oh no... we can write programs that loop back to themselves
- And never reduce to normal form!
- This combinator is called Ω

Non-Terminating Evaluation

• What if we pass Ω as an argument to another function?

```
let OMEGA = (\x -> x x) (\x -> x x)
(\x -> \y -> y) OMEGA
```

Does this reduce to a normal form? Try it at home!

Programming in λ-calculus

- Real languages have lots of features
 - Multi-argument functions
 - Booleans
 - Records (structs, tuples)
 - Numbers
 - Recursion
- Let's see how to encode all of these features with the λ-calculus.

Multi-Argument Functions

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

- How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

Multi-Argument Functions

```
-- A function that returns the identity function
\x -> (\y -> y)
```

OR: a function that takes two arguments and returns the second one!

- How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

Multi-Argument Functions

- How do I apply a function to two arguments?
 - e.g. apply \x -> (\y -> y) to apple and banana?

```
-- first apply to apple, then apply the result to banana (((\x -> (\y -> y)) apple) banana)
```

Syntactic Sugar

Convenient notation used as a shorthand for valid syntax

instead of	we write
$\x -> (\y -> (\z -> e))$	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

Programming in λ-calculus

- Real languages have lots of features
 - Multi-argument functions
 - Booleans
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λ-calculus: Booleans

- How can we encode Boolean values (TRUE and FALSE) as functions?
- Well, what do we **do** with a Boolean **b**?

- We make a *binary choice*

if b then e1 else e2

Booleans: API

We need to define three functions

```
let TRUE = ???
let FALSE = ???
let ITE = \b x y -> ??? -- if b then x else y

such that

ITE TRUE apple banana =~> apple
ITE FALSE apple banana =~> banana

(Here, let NAME = e means NAME is an abbreviation for e)
```

Booleans: Implementation

Example: Branches step-by-step

Example: Branches step-by-step

- Now you try it!
- Can you fill in the blanks to make it happen?
 - http://goto.ucsd.edu/elsa

```
eval ite_false:
   ITE FALSE e1 e2
   -- fill the steps in!
   =b> e2
```

Example: Branches step-by-step

Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ???

let AND = \b1 b2 -> ???

let OR = \b1 b2 -> ???
```

Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ITE b FALSE TRUE

let AND = \b1 b2 -> ITE b1 b2 FALSE

let OR = \b1 b2 -> ITE b1 TRUE b2
```

Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> b FALSE TRUE

let AND = \b1 b2 -> b1 b2 FALSE

let OR = \b1 b2 -> b1 TRUE b2
```

- (since ITE is redundant)
- Which definition to do you prefer and why?

Programming in λ-calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples)
 - Numbers
 - Functions [we got those]
 - Recursion

λ-calculus: Records

- Let's start with records with two fields (aka pairs)?
- Well, what do we **do** with a pair?

- 1. Pack two items into a pair, then
- 2.**Get first** item, or
- 3.**Get second** item.

Pairs: API

We need to define three functions

such that

```
FST (PAIR apple banana) =~> apple
SND (PAIR apple banana) =~> banana
```

Pairs: Implementation

 A pair of x and y is just something that lets you pick between x and y! (I.e. a function that takes a boolean and returns either x or y)

```
let PAIR = \x y -> (\b -> ITE b x y)
let FST = \p -> p TRUE   -- call w/ TRUE, get 1st value
let SND = \p -> p FALSE   -- call w/ FALSE, get 2nd value
```

Exercise: Triples?

 How can we implement a record that contains three values?

```
let TRIPLE = \x y z -> ???
let FST3 = \t -> ???
let SND3 = \t -> ???
let TRD3 = \t -> ???
```

Exercise: Triples?

 How can we implement a record that contains three values?

```
let TRIPLE = \x y z -> PAIR x (PAIR y z)
let FST3 = \t -> FST t
let SND3 = \t -> FST (SND t)
let TRD3 = \t -> SND (SND t)
```

Programming in λ-calculus

- Real languages have lots of features
 - Multi-argument functions
 - Booleans
 - Records (structs, tuples)
 - Numbers
 - Recursion

λ-calculus: Numbers

- Let's start with natural numbers (0, 1, 2, ...)
- What do we do with natural numbers?

- 1. **Count:** 0, inc
- 2. Arithmetic: dec, +, -, *
- 3. Comparisons: ==, <=, etc

Natural Numbers: API

- We need to define:
 - A family of numerals: ZERO, ONE, TWO, THREE, ...
 - Arithmetic functions: INC, DEC, ADD, SUB, MULT
 - Comparisons: IS ZERO, EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE =~> TWO
```

Pairs: Implementation

 Church numerals: a number N is encoded as a combinator that calls a function on an argument N times

```
let ONE = \f x -> f x
let TWO = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR = \f x -> f (f (f (f x)))
let FIVE = \f x -> f (f (f (f x))))
let SIX = \f x -> f (f (f (f (f x)))))
```

QUIZ: Church Numerals

Which of these is a valid encoding of ZERO? *

- \bigcirc A: let ZERO = \f x -> x
- B: let ZERO = \f x -> f
- \bigcirc C: let ZERO = \f x -> f x
- \bigcirc D: let ZERO = $\xspace x -> x$
- E: None of the above



http://tiny.cc/cse116-church-ind

QUIZ: Church Numerals

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- \bigcirc C: let ZERO = \f x -> f x
- \bigcirc D: let ZERO = $\xspace x -> x$
- E: None of the above



http://tiny.cc/cse116-church-grp

λ-calculus: Increment

```
-- Call \hat{f} on \hat{x} one more time than \hat{n} does let INC = \hat{x} -> (\hat{x} -> ???)
```

Example

```
eval inc_zero :
   INC ZERO
   =d> (\n f x -> f (n f x)) ZERO
   =b> \f x -> f (ZERO f x)
   =*> \f x -> f x
   =d> ONE
```

QUIZ: ADD

How shall we implement ADD? *

- \bigcirc A. let ADD = \n m -> n INC m
- \bigcirc B. let ADD = \n m -> INC n m
- \bigcirc C. let ADD = $\n m \rightarrow n m INC$
- O. let ADD = n n (m INC)
- \bigcirc E. let ADD = \n m -> n (INC m)



http://tiny.cc/cse116-add-ind

QUIZ: ADD

How shall we implement ADD? *

- \bigcirc A. let ADD = \n m -> n INC m
- \bigcirc B. let ADD = \n m -> INC n m
- \bigcirc C. let ADD = $\n m \rightarrow n m INC$
- O. let ADD = n n (m INC)
- \bigcirc E. let ADD = \n m -> n (INC m)



http://tiny.cc/cse116-add-grp

λ-calculus: Addition

```
-- Call `f` on `x` exactly `n + m` times
let ADD = \n m -> n INC m
```

Example

```
eval add_one_zero :
   ADD ONE ZERO
   =~> ONE
```

QUIZ: MULT

How shall we implement MULT? *

- \bigcirc A. let MULT = \n m -> n ADD m
- B. let MULT = n n (ADD m) ZERO
- \bigcirc C. let MULT = $\n m \rightarrow m$ (ADD n) ZERO
- O. let MULT = n n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



http://tiny.cc/cse116-mult-ind

QUIZ: MULT

How shall we implement MULT? *

- \bigcirc A. let MULT = \n m -> n ADD m
- B. let MULT = n n (ADD m) ZERO
- \bigcirc C. let MULT = $\n m \rightarrow m$ (ADD n) ZERO
- O. let MULT = n n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



http://tiny.cc/cse116-mult-grp

λ-calculus: Multiplication

```
-- Call `f` on `x` exactly `n * m` times
let MULT = \n m -> n (ADD m) ZERO
```

Example

```
eval two_times_one :
    MULT TWO ONE
    =~> TWO
```

Programming in λ-calculus

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• I want to write a function that sums up natural numbers up to n:

QUIZ: SUM

Is this a correct implementation of SUM? *

- A. Yes
- B. No



http://tiny.cc/cse116-sum-ind

QUIZ: SUM

Is this a correct implementation of SUM? *

- A. Yes
- B. No



http://tiny.cc/cse116-sum-grp

- No! Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to λ -calculus: replace each name with its definition

- Recursion: Inside this function I want to call the same function on DEC n
- Looks like we can't do recursion, because it requires being able to refer to functions by name, but in λ -calculus functions are anonymous.
- Right?

- Think again!
- Recursion: Inside this function I want to call the same function on DEC n
 - Inside this function I want to call a function on DEC n
 - And BTW, I want it to be the same function
- Step 1: Pass in the function to call "recursively"

• Step 1: Pass in the function to call "recursively"

• Step 2: Do something clever to STEP, so that the function passed as rec itself becomes

```
\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))
```

 Wanted: a combinator FIX such that FIX STEP calls STEP with itself as the first argument:

```
FIX STEP

=*> STEP (FIX STEP)

(In math: a fixpoint of a function f(x) is a point x, such that f(x) = x)
```

• Once we have it, we can define:

```
let SUM = FIX STEP
```

Then by property of FIX we have:

```
SUM =*> STEP SUM -- (1)
```

```
eval sum one:
 SUM ONE
 =*> STEP SUM ONE
                 -- (1)
 =d> (\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ONE
 =b> (n -> ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ONE
                                     -- ^^^ the magic happened!
               ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE)))
 =b>
 =*> ADD ONE (SUM ZERO) -- def of ISZ, ITE, DEC, ...
 =*> ADD ONE (STEP SUM ZERO) -- (1)
 =d> ADD ONE
       ((\rc n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ZERO)
 =b> ADD ONE ((n -> ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ZERO)
 =b> ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM (DEC ZERO))))
 =b> ADD ONE ZERO
 =~> ONE
```

- So how do we define FIX?
- Remember Ω ? It *replicates itself!*

```
(\x -> x x) (\x -> x x)
=b> (\x -> x x) (\x -> x x)
```

We need something similar but more involved.

The Y combinator discovered by Haskell Curry:

```
let FIX = \langle x - x + x \rangle (\langle x - x + x \rangle) (\langle x - x + x \rangle)
```

How does it work?

Programming in λ-calculus

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Next time: Intro to Haskell

