CSE 114A: Fall 2023 Foundations of Programming Languages

Polymorphism and Type Inference

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Roadmap

Past two weeks:

How do we *implement* a tiny functional language?

- 1. Interpreter: how do we evaluate a program given its AST?
- 2. *Parser*: how do we convert strings to ASTs?

This week: adding types

How do we check statically if our programs "make sense"?

- 1. Type system: formalizing the intuition about which expressions have which types
- 2. Type inference: computing the type of an expression

Reminder: Nano2

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Which one of these Nano2 programs is well-typed? *

- $(A) (\x -> x) + 1$
- (B) 1 2
- (C) let $f = \x -> x + 1$ in $f (\y -> y)$
- $\bigcirc (D) \x -> \y -> x y$
- \bigcirc (E) $\xspace x x$



http://tiny.cc/cse116-nanotype-ind

Reminder: Nano2

Which one of these Nano2 programs is well-typed? *

- $(A) (\x -> x) + 1$
- (B) 1 2
- (C) let $f = \x -> x + 1 \text{ in } f (\y -> y)$
- $\bigcirc (D) \x -> \y -> x y$
- \bigcirc (D) (\y -> 1 + y) (1 + 2) => 1 + 1 + 2
- \bigcirc (E) $\xspace x x$



http://tiny.cc/cse116-nanotype-grp

```
Answer: D.
A adds a function;
B applies a number;
C defines f to take an Int and then passes in a function;
E requires a type T that is equal to T -> T, which doesn't exit.
```

Type system for Nano2

A type system defines what types an expression can have

To define a type system we need to define:

- the syntax of types: what do types look like?
- the *static semantics* of our language (i.e. the typing rules): assign types to expressions

Type system: take 1

Syntax of types:

```
T ::= Int -- integers
| T1 -> T2 -- function types
```

Now we want to define a typing relation e :: T (e has type T)

We define this relation inductively through a set of typing rules:

What is the type of a variable?

We have to remember what type of expression it was bound to!

Type Environment

An expression has a type in a given **type environment** (also called **context**), which maps all its *free variables* to their *types*

```
G = x1:T1, x2:T2, ..., xn:Tn
```

Our typing relation should include the context **G**:

```
G - e :: T (e has type T in context G)
```

Typing rules: take 2

```
[T-Num] G |- n :: Int
       G |- e1 :: Int G |- e2 :: Int
[T-Add] -----
            G |- e1 + e2 :: Int
[T-Var] G |-x:T if x:T in G
        G,x:T1 |- e :: T2
[T-Abs] -----
      G \mid - \ x \rightarrow e :: T1 \rightarrow T2
      G |- e1 :: T1 -> T2 G |- e2 :: T1
[T-App] ------
              G |- e1 e2 :: T2
      G |- e1 :: T1 G,x:T1 |- e2 :: T2
[T-Let] ------
         G \mid - let x = e1 in e2 :: T2
```

```
G | - e :: T

An expression e has type T in G if we can derive G | - e :: T using these rules

An expression e is well-typed in G if we can derive G | - e :: T for some type T
```

• and **ill-typed** otherwise

Example 1:

But we *cannot* derive: [] - 1 2 :: T for any type T

- Why?
- T-App only applies when LHS has a function type, but there's no rule to derive a function type for 1

Example 2:

```
Let's derive: \begin{bmatrix} 1 \end{bmatrix} - \mathbf{let} \times = 1 \mathbf{in} \times + 2 :: \mathbf{Int}
                [T-Var]-----[T-Num]
                        x:Int | - x :: Int | x:Int | - 2 :: Int
[T-Num] -----[T-Add]
        [] -1 :: Int x:Int -x + 2 :: Int
[T-Let] -------
        [] - let x = 1 in x + 2 :: Int
But we cannot derive: [] | - let x = \y -> y in x + 2 :: T for any type T
The [T-Var] rule above will fail to derive x :: Int
```

Example 3:

```
We cannot derive: [] - (\x -> x x) :: T for any type T
```

We cannot find any type T to fill in for X, because it has to be equal to $T \rightarrow T$

A note about typing rules

According to these rules, an expression can have zero, one, or many types

- examples?
- 1 2 has no types; 1 has one type (Int)

```
\x -> x has many types:
```

- we can derive [] \x -> x :: Int -> Int
- or [] |- \x -> x :: (Int -> Int) -> (Int -> Int)
- or T -> T for any concrete T

We would like every well-typed expression to have a single most general type!

- most general type = allows most uses
- infer type once and reuse later

Is this program well-typed according to your intuition and according to our rules? *

- (A) Me: okay, rules: okay
- (B) Me: okay, rules: nope
- (C) Me: nope, rules: okay
- (D) Me: nope, rules: nope



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Is this program well-typed according to your intuition and according to our rules? *

- (A) Me: okay, rules: okay
- (B) Me: okay, rules: nope
- (C) Me: nope, rules: okay
- (D) Me: nope, rules: nope



http://tiny.cc/cse116-typed-grp

Answer: B.

Double identity

```
let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)
```

Intuitively this program looks okay, but our type system rejects it:

- in the first application, id needs to have type Int -> Int
- in the second application, id needs to have type (Int -> Int) -> (Int -> Int)
- the type system forces us to pick just one type for each variable, such as id:(

What can we do?

Polymorphic types

Intuitively, we can describe the type of id like this:

- it's a function type where
- the argument type can be any type T
- the return type is then also T

Polymorphic types

We formalize this intuition as a polymorphic type: forall a . a -> a

- where a is a (bound) type variable
- also called a type scheme
- Haskell also has polymorphic types, but you don't usually write forall a.

We can **instantiate** this scheme into different types by replacing a in the body with some type, e.g.

- instantiating with Int yields Int -> Int
- instantiating with Int -> Int yields (Int -> Int) -> Int -> Int
- etc.

Inference with polymorphic types

With polymorphic types, we can derive e :: Int -> Int where e is

```
let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)
```

At a high level, inference works as follows:

- 1. When we have to pick a type T for X, we pick a fresh type variable a
- 2. So the type of $\x -> x$ comes out as a -> a
- 3. We can **generalize** this type to forall a . a -> a
- 4. When we apply id the first time, we instantiate this polymorphic type with Int
- 5. When we apply id the second time, we **instantiate** this polymorphic type with Int ->Int

Let's formalize this intuition as a type system!

Type system: take 3

Syntax of types

Type Environment

The type environment now maps variables to poly-types: G: Var -> Poly

example, G = [z: Int, id: forall a . a -> a]

Type system: take 3

Type Substitutions

We need a mechanism for replacing all type variables in a type with another type

A **type substitution** is a finite map from type variables to types: U: TVar - > Type

example: U1 = [a / Int, b / (c -> c)]

To **apply** a substitution U to a type T means replace all type vars in T with whatever they are mapped to in U

- example 1: U1 (a -> a) = Int -> Int
- example 2: U1 Int = Int

What is the result of the following substitution application? *

$$[a / Int, b / c -> c] (b -> d -> b)$$

- \bigcirc (A) c -> d -> c
- \bigcirc (B) (c -> c) -> d -> (c -> c)
- (C) Error: no mapping for type variable d
- (D) Error: type variable a is unused



http://tiny.cc/cse116-subst-ind

What is the result of the following substitution application? *

$$[a / Int, b / c -> c] (b -> d -> b)$$

- \bigcirc (A) c -> d -> c
- \bigcirc (B) (c -> c) -> d -> (c -> c)
- (C) Error: no mapping for type variable d
- (D) Error: type variable a is unused



http://tiny.cc/cse116-subst-grp

(B)
$$(c \rightarrow c) \rightarrow d \rightarrow (c \rightarrow c)$$

Answer: B

We need to change the typing rules so that:

1. Variables (and their definitions) can have polymorphic types

2. We can *instantiate* a type scheme into a type

```
G |- e :: forall a . S
[T-Inst] -----
G |- e :: [a / T] S
```

3. We can *generalize* a type with free type variables into a type scheme

```
G |- e :: S
[T-Gen] ----- if not (a in FTV(G))
G |- e :: forall a . S
```

The rest of the rules are the same:

```
[T-Num] G |- n :: Int
        G |- e1 :: Int G |- e2 :: Int
[T-Add]
               G |- e1 + e2 :: Int
          G, x:T1 |- e :: T2
[T-Abs] -----
        G \mid - \ \ x \rightarrow e :: T1 \rightarrow T2
       G |- e1 :: T1 -> T2 G |- e2 :: T1
[T-App]
                 G - e1 e2 :: T2
```

Example 1

```
Let's derive: \begin{bmatrix} 1 \\ - \\ x -> x :: forall a . a -> a \end{bmatrix}
[T-Var] -----
        [x:a] - x :: a
[T-Abs] -----
        [] - x -> x :: a -> a
[T-Gen] ----- not (a in FTV([]))
        [] - x -> x :: forall a . a -> a
Can we derive: [x:a] - x :: forall a . a?
No! The side condition of [T-Gen] is violated because a is present in the context
```

Example 2

Example 3

Finally, we can derive:

```
(let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)) :: Int -> Int
```

```
easy
   [T-Var]----- [Add]
       G2 |- id::forall a.a -> a G3 |- z + y :: Int
                                          -----[T-Abs]
[T-Inst]----
      G2 |- id::(Int->Int)->Int->Int G2 |- \z -> z+y :: Int->Int
           example 2 |
        G1 |- id 5 :: Int G2 |- id (\z -> z+y) :: Int -> Int
  [T-Let] ------
                        G1 |- let y = id 5 in ... :: Int -> Int
              example 1
[T-Abs] -----
      [] | - \x -> x :: forall a.a -> a
[T-Let] -----
      [] - let id = \x -> x in ... :: Int -> Int
 • G1 = [id : (forall a . a -> a)]
 • G2 = [y : Int, id : (forall a . a -> a)]
  G3 = [z : Int, y : Int, id : (forall a . a -> a)]
```

Type inference algorithm

Our ultimate goal is to implement a Haskell function infer which

- given a context G and an expression e
- returns a type T such that G e :: T
- or reports a type error if e is ill-typed in G

Representing types

First, let's define a Haskell datatype to represent Nano2 types:

```
data Type
             -- Int
 = TInt
  | Type :=> Type -- T1 -> T2
  TVar String -- a, b, c
data Poly = Mono Type
         | Forall TVar Poly
type TVar = String
type TEnv = [(Id, Poly)] -- type environment
type Subst = [(String, Type)] -- type substitution
```

Inference: main idea

Let's implement infer like this:

- 1. Depending on what kind of expression e is, find a typing rule that applies to it
- 2. If the rule has premises, recursively call infer to obtain the types of subexpressions
- 3. Combine the types of sub-expression according to the conclusion of the rule
- 4. If no rule applies, report a type error

Inference: main idea

```
-- | This is not the final version!!!
infer :: TypeEnv -> Expr -> Type
infer _ (ENum _) = TInt
infer tEnv (EVar var) = lookup var tEnv
infer tEnv (EAdd e1 e2) =
 if t1 == TInt && t2 == TInt
   then return TInt
   else throw "type error: + expects Int operands"
 where
   t1 = infer tEnv e1
   t2 = infer tEnv e2
```

This doesn't quite work (for other cases). Why?

Inference: tricky bits

The trouble is that our typing rules are *nondeterministic*!

When building derivations, sometimes we had to guess how to proceed

```
Problem 1: Guessing a type

-- oh, now we know!

[T-Var]-----

[x:?] |- x: Int [x:?] |- 1 :: Int

[T-Add]------

[x:?] |- x + 1 :: ?? -- what should "?" be?

[T-Abs]------

[] |- (\x -> x + 1) :: ? -> ??
```

Inference: tricky bits

```
Problem 1: Guessing a type
So, if we want to implement
infer tEnv (ELam x e) = tX :=> tBody
   where
    tEnv' = extendTEnv x tX tEnv
    tX = ??? -- what do we put here?
   tBody = infer tEnv' e
```

Inference: tricky bits

Problem 2: Guessing when to generalize

In the derivation for

```
(let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)) :: Int -> Int
```

we had to guess that the type of id should be generalized into

Let's deal with problem 1 first

Constraint-based type inference

```
-- oh, now we know!

[T-Var]------

[x:?] |- x: Int [x:?] |- 1 :: Int

[T-Add]------

[x:?] |- x + 1 :: ?? -- what should "?" be?

[T-Abs]------

[] |- (\x -> x + 1) :: ? -> ??
```

Main idea:

- 1. Whenever you need to "guess" a type, don't.
 - just return a fresh type variable
 - fresh = not used anywhere else in the program
- 2. Whenever a rule *imposes a constraint* on a type (i.e. says it should have certain form):
 - try to find the right substitution for the free type vars to satisfy the constraint
 - this step is called unification

Example

Let's infer the type of $\x -> x + 1$:

```
-- TEnv Expression Step
                                   Subst Inferred type
  Г٦
  [x:a0]
               x + 1 \quad [T-Add]
3
                      [T-Var]
                                            a0
               X
               x + 1 unify a0 Int [a0/Int]
4
  [x:Int]
                      [T-Num]
                   1
                                            Int
               x + 1 unify Int Int
6
               x + 1
                                            Int
          \x \rightarrow x + 1
                                            Int -> Int
```

Example

- 1. Infer the type of $(x \rightarrow x + 1)$ in [] (apply [T-Abs])
- 2. For the type of x, pick fresh type variable (say, a0); infer the type of x + 1 in [x:a0](apply [T-Add])
- 3. Infer the type of x in [x:a0] (apply [T-Var]); result: a0
- 4. [T-Add] imposes a constraint: its LHS must be of type Int, so unify a0 and Int and update the current substitution to [a0 / Int]
- 5. Apply the current substitution [a0/Int] to the type environment [x:a0] to get [x:Int]. Infer the type of 1 in [x:Int] (apply [T-Num]); result: Int
- 6. [T-Add] imposes a constraint: its RHS must be of type Int, so unify Int and Int; current substitution doesn't change
- 7. By conclusion of [T-Add]: return Int as the inferred type\
- 8. By conclusion of [T-Lam]: return Int -> Int as the inferred type

Unification

The unification problem: given two types T1 and T2, find a type substitution U such that U T1 = U T2.

Such a substitution is called a *unifier* of T1 and T2

Examples:

The unifier of:

```
and Int is [a / Int]
а
a -> a and Int -> Int is [a / Int]
a -> Int and Int -> b is [a / Int, b / Int]
                        is []
Int
        and Int
                        is []
        and a
a
                        cannot unify!
Int
        and Int -> Int
Int
        and a -> a
                        cannot unify!
        and a -> a
                        cannot unify!
a
```

What is the unifier of the following two types? *

- 1. a -> Int -> Int
- 2. $b \rightarrow c$
- (A) Cannot unify
- (B) [a / Int, b / Int -> Int, c / Int]
- (C) [a / Int, b / Int, c / Int -> Int]
- (D) [b / a, c / Int -> Int]
- (E) [a / b, c / Int -> Int]



http://tiny.cc/cse116-unify-ind

What is the unifier of the following two types? *

- 1. a -> Int -> Int
- 2. $b \rightarrow c$
- (A) Cannot unify
- (B) [a / Int, b / Int -> Int, c / Int]
- (C) [a / Int, b / Int, c / Int -> Int]
- (D) [b / a, c / Int -> Int]
- (E) [a / b, c / Int -> Int]



http://tiny.cc/cse116-unify-grp

(C), (D) and (E) are all unifiers!

But somehow (D) and (E) are better than (C)

- they make the *least commitment* required to make these types equal
- this is called the most general unifier

Infer: take 2

Let's add constraint-based typing to infer!

```
-- | Now has to keep track of current substitution!
infer :: Subst -> TypeEnv -> Expr -> (Subst, Type)
infer sub (ENum ) = (sub, TInt)
infer sub tEnv (EVar var) = (sub, lookup var tEnv)
-- Lambda case: simply generate fresh type variable!
infer sub tEnv (ELam \times e) = (sub1, tX' :=> tBody)
 where
   tEnv'
                  = extendTEnv x tX tEnv
                  = freshTV -- we'll get to this
   tX
   (sub1, tBody) = infer sub tEnv' e
   tX'
                  = apply sub1 tX
```

Infer: take 2

```
-- Add case: recursively infer types of operands
-- and enforce constraint that they are both Int
infer sub tEnv (EAdd e1 e2) = (sub4, TInt)
where

(sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
sub2 = unify sub1 t1 Int -- 2. constraint: t1 is Int
tEnv' = apply sub2 tEnv -- 3. apply subst to context
(sub3, t2) = infer sub2 tEnv' e2 -- 4. infer e2 type in new ctx
sub4 = unify sub3 t2 Int -- 5. constraint: t2 is Int
```

Why are all these steps necessary? Can't we just return (sub, TInt)?

Which of these programs will type-check if we skip step 3? *

```
infer sub tEnv (EAdd e1 e2) = (sub4, TInt)
where
  (sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
sub2 = unify sub1 t1 Int -- 2. enforce constraint: t1 is Int
tEnv' = apply sub2 tEnv -- 3. apply substitution to context
(sub3, t2) = infer sub2 tEnv' e2 -- 4. infer type of e2 in new ctx
sub4 = unify sub3 t2 Int -- 5. enforce constraint: t2 is Int
```

- \bigcirc (A) 12+3
- (B) 1 + 23
- \bigcirc (C) (\x -> x) + 1
- \bigcirc (D) 1 + (\x -> x)
- (E) \x -> x + x 5



Which of these programs will type-check if we skip step 3? *

```
infer sub tEnv (EAdd e1 e2) = (sub4, TInt)
where
  (sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
sub2 = unify sub1 t1 Int -- 2. enforce constraint: t1 is Int
tEnv' = apply sub2 tEnv -- 3. apply substitution to context
(sub3, t2) = infer sub2 tEnv' e2 -- 4. infer type of e2 in new ctx
sub4 = unify sub3 t2 Int -- 5. enforce constraint: t2 is Int
```

- \bigcirc (A) 12+3
- \bigcirc (B) 1 + 23
- \bigcirc (C) (\x -> x) + 1
- \bigcirc (D) 1 + (\x -> x)
- $\bigcirc (E) \x -> x + x 5$



```
Answer: E.

A fails in step 1 (LHS is ill-typed);

B fails in step 4 (RHS is ill-typed);

C fails in step 2 (LHS is not Int);

D fails in step 5 (RHS is not Int);

finally, E should fails because LHS and RHS by themselves are fine, but not together!
```

Fresh type variables

```
-- | Now has to keep track of current substitution!
infer :: Subst -> TypeEnv -> Expr -> (Subst, Type)

-- Lambda case: simply generate fresh type variable!
infer tEnv (ELam x e) = tX :=> tBody
    where
    tEnv' = extendTEnv x tX tEnv
    tX = freshTV -- how do we do this?
    tBody = infer tEnv' e
```

Intended behavior:

- First time we call freshTV it returns a0
- Second time it returns a1
- ... and so on

Can we do that in Haskell?

No, Haskell is pure. Have to thread the counter through :(

Polymorphism: the final frontier

Back to double identity:

- When should we to generalize a type like a -> a into a polymorphic type like forall a .a -> a?
- When should we instantiate a polymorphic type like forall
 a . a -> a and with what?

Polymorphism: the final frontier

Generalization and instantiation:

- Whenever we infer a type for a let-defined variable, generalize it!
 - it's safe to do so, even when not strictly necessary
- Whenever we see a variable with a polymorphic type, instantiate it
 - with what type?
 - well, what do we use when we don't know what type to use?
 - fresh type variables!

Example

Let's infer the type of let id = $\x -> x$ in id 5:

```
-- TEnv Expression
                                 Step
                                                Subst
                                                                 Type
   Г٦
          let id=\x->x in id 5 [T-Let]
                                                 2
                 \x->x [T-Abs]
3
                                 [T-Var]
   [x:a0]
                                                                 a0
                     Χ
4
                 \backslash X - \rangle X
                                                                 a0 -> a0
          let id=\x->x in id 5 generalize a0
   tEnv
                          id 5 [T-App]
7
                          id [T-Var]
8
                          id
                                 instantiate
                                                                 a1 -> a1
9
                             5 [T-Num]
                                                                 Int
10
                                 unify (a1->a1)
                          id 5
                                       (Int->a2) [a1/Int,a2/Int]
10
                          id 5
                                                                 Int
      let id=\x->x in id 5
11 []
                                                                 Int
```

What we learned this week

Type system: a set of rules about which expressions have which types

Type environment (or context): a mapping of variables to their types

Polymorphic type: a type parameterized with type variables that can be instantiated with any concrete type

Type substitution: a mapping of type variables to types; you can **apply** a substitution to a type by replacing all its variables with their values in the substitution

Unifier of two types: a substitution that makes them equal; **unification** is the process of finding a unifier

What we learned this week

Type inference: an algorithm to determine the type of an expression

Constraint-based type inference: a type inference technique that uses fresh type variables and unification

Generalization: turning a mono-type with free type variables into a polymorphic type (by binding its variables with a forall)

Instantiation: turning a polymorphic type into a mono-type by substituting type variables in its body with some types