#### CSE 114A: Fall 2023

# Foundations of Programming Languages

#### Lambda Calculus

Owen Arden UC Santa Cruz

Based on course materials developed by Ranjit Jhala

# Your favorite language

- Probably has lots of features:
  - Assignment (x = x + 1)
  - Booleans, integers, characters, strings,...
  - Conditionals
  - Loops, return, break, continue
  - Functions
  - Recursion
  - References / pointers
  - Objects and classes
  - Inheritance
  - ... and more

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### Your favorite language

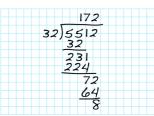
- Probably has lots of features:
  - ?Assignment (x = x + 1)
  - Booleans, integers, characters, strings,... ?
  - Conditionals
  - 21 cons 2 return break continue

Which ones can we do without?

- What is the smallest universal language?
- References / pointers
- ?Objects and classes
- ?Inheritance
- ... and more

# What is computable?

- Prior to 1930s
  - Informal notion of an effectively calculable function:



One that can be computed by a human with pen and paper, following an algorithm

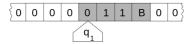
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# What is computable?

• 1936: Formalization



Alan Turing: Turing machines



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# What is computable?

• 1936: Formalization



Alonzo Church: lambda calculus

# The Next 700 Languages

• Big impact on language design!



Whatever the next 700 languages turn out to be, they will surely be variants of lambda calculus.

Peter Landin, 1966

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# Your favorite language

- Probably has lots of features:
  - Assignment (x = x + 1)
  - Booleans, integers, characters, strings,...
  - Conditionals
  - Loops, return, break, continue
  - Functions
  - Recursion
  - References / pointers
  - Objects and classes
  - Inheritance
  - ... and more

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#### The Lambda Calculus

- Features
  - Functions
  - (that's it)

#### The Lambda Calculus

- Seriously...
  - Assignment (x = x + 1)
  - Booleans, integers, characters, strings,...
  - Conditionals
  - Loops, return, break, continue
  - Functions
  - Recursion
  - References / pointers
  - Objects and classes
  - Inheritance
  - ... and more

The only thing you can do is:

Define a function

Call a function

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#### Describing a Programming Language

- Syntax
  - What do programs look like?
- Semantics
  - What do programs mean?
  - Operational semantics:
    - How do programs execute step-by-step?

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#### Syntax: What programs look like

- Programs are *expressions* e (also called  $\lambda$ -terms)
- Variable: x, y, z
- Abstraction (aka nameless function definition):
  - \x -> e "for any x, compute e"
  - x is the formal parameter, e is the body
- Application (aka function call):
  - e1 e2 "apply e1 to e2"
  - e1 is the function, e2 is the argument

### **Examples**

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

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# QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? \*

○ A. \(\x -> x) -> y

○ B. \x -> x x

O. \x -> x (y x)

O A and C

All of the above



http://tiny.cc/cse116-lambda-ind

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### QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? \*

- A. \(\x → x) → y
- B. \x -> x x
- O. \x -> x (y x)
- O A and C
- All of the above



http://tiny.cc/cse116-lambda-grp

#### **Examples**

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

- How do I define a function with two arguments?
  - e.g. a function that takes x and y and returns y

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#### Examples

```
-- A function that returns the identity function (x \rightarrow (y \rightarrow y))
```

OR: a function that takes two arguments and returns the second one!

- How do I define a function with two arguments?
  - e.g. a function that takes x and y and returns y

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### Examples

- How do I apply a function to two arguments?
  - e.g. apply  $x \rightarrow (y \rightarrow y)$  to apple and banana?

 $(((x \rightarrow (y \rightarrow y)) apple) banana)$ 

```
-- first apply to apple, then apply the result to banana
```

#### Syntactic Sugar

Convenient notation used as a shorthand for valid syntax

instead of	we write
$\x \rightarrow (\y \rightarrow (\z \rightarrow e))$	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

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### Semantics: What programs mean

- How do I "run" or "execute" a  $\lambda$ -term?
- Think of middle-school algebra:

```
-- Simplify expression:

(x + 2)*(3*x - 1)

=

???
```

• Execute = rewrite step-by-step following simple rules until no more rules apply

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#### Rewrite rules of lambda calculus

- 1.  $\alpha$ -step (aka renaming formals)
- 2. B-step (aka function call)

But first we have to talk about scope

#### Semantics: Scope of a Variable

- The part of a program where a variable is visible
- In the expression \x -> e
  - x is the newly introduced variable
  - e is the scope of x
  - any occurrence of x in \x -> e is bound (by the binder \x)

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#### Semantics: Scope of a Variable

• For example, x is **bound** in:

```
\x -> x \x -> (\y -> x)
```

- An occurrence of x in e is **free** if it's *not bound* by an enclosing abstraction
- For example, x is **free** in:

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#### QUIZ: Variable scope

In the expression  $(\x -> x)$  x, is x bound or free? \*

- A. bound
- O B. free
- O. first occurrence is bound, second is free
- O. first occurrence is bound, second and third are free
- E. first two occurrences are bound, third is free



http://tiny.cc/cse116-scope-ind

### QUIZ: Variable scope

In the expression  $(\x -> x)$  x, is x bound or free? \*

- O A. bound
- O B. free
- C. first occurrence is bound, second is free
- O. first occurrence is bound, second and third are free
- E. first two occurrences are bound, third is free



http://tiny.cc/cse116-scope-grp

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#### Free Variables

- An variable x is **free** in **e** if there exists a free occurrence of **x** in **e**
- We can formally define the set of all free variables in a term like so:

```
FV(x) = ???
FV(\x -> e) = ???
FV(e1 e2) = ???
```

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#### Free Variables

- An variable x is free in e if there exists a free occurrence of x in e
- We can formally define the set of all free variables in a term like so:

```
FV(x) = \{x\}
FV(\x -> e) = FV(e) \setminus \{x\}
FV(e1 e2) = FV(e1) \cup FV(e2)
```

#### **Closed Expressions**

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
  - **Q:** What is the *shortest* closed expression?

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#### **Closed Expressions**

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
  - **Q:** What is the *shortest* closed expression?
  - **A:** \x -> x

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#### Rewrite rules of lambda calculus

- 1.  $\alpha$ -step (aka renaming formals)
- 2. B-step (aka function call)

#### Semantics: B-Reduction

```
(\x -> e1) e2 =b> e1[x := e2]
where e1[x := e2] means "e1 with all free occurrences
of x replaced with e2"
```

- Computation by search-and-replace:
  - If you see an *abstraction* applied to an argument, take the *body* of the abstraction and replace all free occurrences of the *formal* by that argument
  - We say that  $(\x \rightarrow e1)$  e2 *B-steps* to e1[x := e2]

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## Examples

```
(\x -> x) apple
=b> apple

Is this right? Ask Elsa!

(\f -> f (\x -> x)) (give apple)
=b> ???
```

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### Examples

```
(\x -> x) apple
=b> apple

Is this right? Ask Elsa!

(\f -> f (\x -> x)) (give apple)
=b> give apple (\x -> x)
```

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# QUIZ: B-Reduction 1

(\x -> (\y -> y)) apple =b> ??? \*

- O A. apple
- B. \y -> apple
- C. \x -> apple
- D. \y -> y
- E. \x -> y



http://tiny.cc/cse116-beta1-ind

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# QUIZ: B-Reduction 1

(\x -> (\y -> y)) apple =b> ??? \*

- O A. apple
- B. \y -> apple
- C. \x -> apple
- D. \y -> y
- E. \x -> y



http://tiny.cc/cse116-beta1-grp

# QUIZ: B-Reduction 2

(\x -> x (\x -> x)) apple =b>??? \*

A. apple (\x -> x)

O B. apple (\apple -> apple)

C. apple (\x -> apple)

O. apple

○ E. \x -> x



http://tiny.cc/cse116-beta2-ind

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# QUIZ: B-Reduction 2

(\x -> x (\x -> x)) apple =b> ??? \*

 $\bigcirc$  A. apple (\x -> x)

B. apple (\apple -> apple)

C. apple (\x -> apple)

O. apple

○ E. \x -> x



http://tiny.cc/cse116-beta2-grp

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#### A Tricky One

 $(\x -> (\y -> x)) y$ =b> \y -> y

Is this right?

**Problem:** the free y in the argument has

been captured by \y!

**Solution:** make sure that all *free variables* of the argument are different from the *binders* in the body.

### **Capture-Avoiding Substitution**

• We have to fix our definition of *B*-reduction:

```
(\x -> e1) e2 =b> e1[x := e2]
```

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- e1 with all free occurrences of x replaced with e2, as long as no free variables of e2 get captured
- undefined otherwise

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### **Capture-Avoiding Substitution**

#### Formally:

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#### Rewrite rules of lambda calculus

- 1.  $\alpha$ -step (aka renaming formals)
- 2. B-step (aka function call)

#### Semantics: α-Reduction

```
\x -> e =a> \y -> e[x := y]
where not (y in FV(e))
```

- We can rename a formal parameter and replace all its occurrences in the body
- We say that  $(\x -> e)$  a-steps to  $(\y -> e[x := y])$

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#### Semantics: α-Reduction

```
\x -> e =a> \y -> e[x := y]
where not (y in FV(e))
```

• Example:

```
\x \rightarrow x = a \ \y \rightarrow y = a \ \z \rightarrow z
```

• All these expressions are  $\alpha$ -equivalent

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#### Example

#### What's wrong with these?

```
-- (A)
\f -> f x =a> \x -> x x

-- (B)
(\x -> \y -> y) y =a> (\x -> \z -> z) z

-- (C)
\x -> \y -> x y =a> \apple -> \orange -> apple orange
```

# The Tricky One

```
(\x -> (\y -> x)) y
=a> ???
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

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# The Tricky One

```
(\x -> (\y -> x)) y
=a> (\x -> (\z -> x)) y
=b> \z -> y
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

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#### **Normal Forms**

A redex is a  $\lambda$ -term of the form

A  $\lambda$ -term is in **normal form** if it contains no redexes.

# QUIZ: Normal form

Which of the following terms are not in normal form?\*

- A. x
- B. x y
- C. (\x -> x) y
- O. x (\y -> y)
- E. C and D



http://tiny.cc/cse116-norm-ind

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# QUIZ: Normal form

Which of the following terms are not in normal form?\*

- A. x
- B. x y
- O. (\x -> x) y
- O. x (\y -> y)
- E. C and D



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#### Semantics: Evaluation

- A λ-term e evaluates to e' if
  - 1. There is a sequence of stops

where each =?> is either =a> or =b> and N >= 0

2. e' is in normal form

# Example of evaluation

```
(\x -> x) apple
=b> apple

(\f -> f (\x -> x)) (\x -> x)
=?> ???

(\x -> x x) (\x -> x)
=?> ???
```

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# Example of evaluation

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# Example of evaluation

```
(\x -> x) apple
=b> apple

(\f -> f (\x -> x)) (\x -> x)
=b> (\x -> x) (\x -> x)
=b> \x -> x

(\x -> x x) (\x -> x)
=b> (\x -> x)
=b> (\x -> x)
```

#### Elsa shortcuts

Named λ-terms

```
let ID = \x -> x -- abbreviation for <math>\x -> x
```

• To substitute a name with its definition, use a =d> step:

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#### Elsa shortcuts

- Evaluation
  - e1 =\*> e2: e1 reduces to e2 in 0 or more steps
    - where each step is =a>, =b>, or =d>
  - e1 =~> e2: e1 evaluates to e2
- What is the difference?

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### Non-Terminating Evaluation

```
(\x -> x x) (\x -> x x)
=b> (\x -> x x) (\x -> x x)
```

- Oh no... we can write programs that loop back to themselves
- And never reduce to normal form!
- $\bullet$  This combinator is called  $\Omega$

### Non-Terminating Evaluation

• What if we pass  $\Omega$  as an argument to another function?

```
let OMEGA = (\x -> x x) (\x -> x x)
(\x -> \y -> y) OMEGA
```

• Does this reduce to a normal form? Try it at home!

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# Programming in $\lambda$ -calculus

- Real languages have lots of features
  - Booleans
  - Records (structs, tuples)
  - Numbers
  - Functions [we got those]
  - Recursion
- Let's see how to encode all of these features with the  $\lambda$ -calculus.

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#### λ-calculus: Booleans

- How can we encode Boolean values (TRUE and FALSE) as functions?
- Well, what do we do with a Boolean b?
  - We make a binary choice

if b then e1 else e2

#### Booleans: API

• We need to define three functions

```
let TRUE = ???
let FALSE = ???
let ITE = \b x y -> ??? -- if b then x else y

such that

ITE TRUE apple banana =~> apple
ITE FALSE apple banana =~> banana

(Here, let NAME = e means NAME is an abbreviation for e)
```

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### Booleans: Implementation

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#### Example: Branches step-by-step

```
eval ite_true:
 ITE TRUE e1 e2
 =d> (\b x y -> b x y) TRUE e1 e2 -- expand def ITE
      (\x y \rightarrow TRUE x y) e1 e2 -- beta-step
 =b>
         (\y -> TRUE e1 y)
                               e2 -- beta-step
 =b>
               TRUE e1 e2
                                -- expand def TRUE
 =b>
         (\x y -> x) e1 e2
                                     -- beta-step
 =b>
           (y \rightarrow e1) e2
                                     -- beta-step
 =b> e1
```

# Example: Branches step-by-step

- Now you try it!
- Can you fill in the blanks to make it happen?
  - http://goto.ucsd.edu/elsa/index.html#?demo=ite.lc

```
eval ite_false:
   ITE FALSE e1 e2
   -- fill the steps in!
   =b> e2
```

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### Example: Branches step-by-step

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### Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ???

let AND = \b1 b2 -> ???

let OR = \b1 b2 -> ???
```

#### Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ITE b FALSE TRUE

let AND = \b1 b2 -> ITE b1 b2 FALSE

let OR = \b1 b2 -> ITE b1 TRUE b2
```

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#### Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> b FALSE TRUE

let AND = \b1 b2 -> b1 b2 FALSE

let OR = \b1 b2 -> b1 TRUE b2
```

- (since ITE is redundant)
- Which definition to do you prefer and why?

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### Programming in $\lambda$ -calculus

- Real languages have lots of features
  - Booleans [done]
  - Records (structs, tuples)
  - Numbers
  - Functions [we got those]
  - Recursion

#### λ-calculus: Records

- Let's start with records with two fields (aka pairs)?
- Well, what do we do with a pair?
  - 1.Pack two items into a pair, then
  - 2.**Get first** item, or
  - 3.Get second item.

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#### Pairs: API

• We need to define three functions

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# Pairs: Implementation

 A pair of x and y is just something that lets you pick between x and y! (I.e. a function that takes a boolean and returns either x or y)

```
let PAIR = \x y -> (\b -> ITE b x y)
let FST = \p -> p TRUE -- call w/ TRUE, get 1st value
let SND = \p -> p FALSE -- call w/ FALSE, get 2nd value
```

# Exercise: Triples?

• How can we implement a record that contains **three** values?

```
let TRIPLE = \x y z -> ???
let FST3 = \t -> ???
let SND3 = \t -> ???
let TRD3 = \t -> ???
```

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# Exercise: Triples?

• How can we implement a record that contains **three** values?

```
let TRIPLE = \x y z -> PAIR x (PAIR y z)
let FST3 = \t -> FST t
let SND3 = \t -> FST (SND t)
let TRD3 = \t -> SND (SND t)
```

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### Programming in $\lambda$ -calculus

- Real languages have lots of features
  - Booleans [done]
  - Records (structs, tuples) [done]
  - Numbers
  - Functions [we got those]
  - Recursion

#### λ-calculus: Numbers

- Let's start with natural numbers (0, 1, 2, ...)
- What do we do with natural numbers?

```
1. Count: 0, inc
```

- 2. Arithmetic: dec, +, -, \*
- 3. Comparisons: ==, <=, etc

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#### Natural Numbers: API

- We need to define:
- A family of numerals: ZERO, ONE, TWO, THREE, ...
- Arithmetic functions: INC, DEC, ADD, SUB, MULT
- Comparisons: IS\_ZERO, EQ

Such that they respect all regular laws of arithmetic, e.g.

```
IS_ZERO ZERO =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE =~> TWO
...
```

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### Pairs: Implementation

 Church numerals: a number N is encoded as a combinator that calls a function on an argument N times

```
let ONE = \f x -> f x
let TWO = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR = \f x -> f (f (f (f x)))
let FIVE = \f x -> f (f (f (f (f x))))
let SIX = \f x -> f (f (f (f (f x)))))
```

#### **QUIZ: Church Numerals**

Which of these is a valid encoding of ZERO?\*

- A: let ZERO = \f x → x
- B: let ZERO = \f x -> f
- $\bigcirc$  C: let ZERO = \f x -> f x
- O: let ZERO =  $\xspace x -> x$
- E: None of the above



http://tiny.cc/cse116-church-ind

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# **QUIZ:** Church Numerals

Which of these is a valid encoding of ZERO?\*

- $\bigcirc$  A: let ZERO = \f x -> x
- $\bigcirc$  B: let ZERO = \f x -> f
- $\bigcirc$  C: let ZERO = \f x -> f x
- O: let ZERO =  $\x -> x$
- E: None of the above



http://tiny.cc/cse116-church-grp

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#### λ-calculus: Increment

```
-- Call `f` on `x` one more time than `n` does let INC = \n -> (\f x -> ???)
```

• Example

```
eval inc_zero :
   INC ZERO
   =d> (\n f x -> f (n f x)) ZERO
   =b> \f x -> f (ZERO f x)
   =*> \f x -> f x
   =d> ONE
```

### **QUIZ: ADD**

#### How shall we implement ADD? \*

- A. let ADD = \n m -> n INC m
- $\bigcirc$  B. let ADD = \n m -> INC n m
- O. let ADD = \n m -> n m INC
- O. let ADD = n n (m INC)
- $\bigcirc$  E. let ADD = \n m -> n (INC m)



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# QUIZ: ADD

#### How shall we implement ADD? \*

- $\bigcirc$  A. let ADD = \n m -> n INC m
- $\bigcirc$  B. let ADD = \n m -> INC n m
- $\bigcirc$  C. let ADD = \n m -> n m INC
- O. let ADD = n n (m INC)
- $\bigcirc$  E. let ADD = \n m -> n (INC m)



http://tiny.cc/cse116-add-grp

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#### λ-calculus: Addition

```
-- Call `f` on `x` exactly `n + m` times
let ADD = \n m -> n INC m
```

• Example

```
eval add_one_zero :
   ADD ONE ZERO
   =~> ONE
```

#### **QUIZ: MULT**

#### How shall we implement MULT? \*

- A. let MULT = \n m -> n ADD m
- B. let MULT = \n m -> n (ADD m) ZERO
- C. let MULT = \n m -> m (ADD n) ZERO
- O. let MULT = \n m -> n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



#### http://tiny.cc/cse116-mult-ind

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# **QUIZ: MULT**

#### How shall we implement MULT? \*

- $\bigcirc$  A. let MULT = \n m -> n ADD m
- B. let MULT = \n m -> n (ADD m) ZERO
- C. let MULT = \n m -> m (ADD n) ZERO
- O. let MULT = \n m -> n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



http://tiny.cc/cse116-mult-grp

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# λ-calculus: Multiplication

```
-- Call `f` on `x` exactly `n * m` times
let MULT = \n m -> n (ADD m) ZERO
```

• Example

```
eval two_times_one :
   MULT TWO ONE
   =~> TWO
```

# Programming in $\lambda$ -calculus

- Real languages have lots of features
  - Booleans [done]
  - Records (structs, tuples) [done]
  - Numbers [done]
  - Functions [we got those]
  - Recursion

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#### λ-calculus: Recursion

• I want to write a function that sums up natural numbers up to n:

```
n \rightarrow \dots \longrightarrow 1 + 2 + \dots + n
```

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#### QUIZ: SUM

Is this a correct implementation of SUM?\*



A. Yes

O B. No

http://tiny.cc/cse116-sum-ind

#### **QUIZ: SUM**

Is this a correct implementation of SUM?\*



http://tiny.cc/cse116-sum-grp

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#### λ-calculus: Recursion

- No! Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to  $\lambda$ -calculus: replace each name with its definition

- Recursion: Inside this function I want to call the same function on DEC n
- Looks like we can't do recursion, because it requires being able to refer to functions by name, but in  $\lambda$ -calculus functions are anonymous.
- Right?

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#### λ-calculus: Recursion

- Think again!
- Recursion: Inside this function I want to call the same function on DEC n
  - Inside this function I want to call a function on DEC n
  - And BTW, I want it to be the same function
- Step 1: Pass in the function to call "recursively"

#### λ-calculus: Recursion

• Step 1: Pass in the function to call "recursively"

• Step 2: Do something clever to STEP, so that the function passed as rec itself becomes

```
\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))
```

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#### λ-calculus: Fixpoint Combinator

 Wanted: a combinator FIX such that FIX STEP calls STEP with itself as the first argument:

```
FIX STEP

=*> STEP (FIX STEP)

(In math: a fixpoint of a function f(x) is a point x, such that f(x) = x)
```

• Once we have it, we can define:

```
let SUM = FIX STEP
```

• Then by property of FIX we have:

```
SUM =*> STEP SUM -- (1)
```

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#### **λ-calculus: Fixpoint Combinator**

```
eval sum_one:
 SUM ONE
 =*> STEP SUM ONE
 =d> (\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ONE
 =b> (n \rightarrow ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ONE
                                   -- ^^^ the magic happened!
 =b> ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE)))
 =*> ADD ONE (SUM ZERO)
                                  -- def of ISZ, ITE, DEC, ...
 =*> ADD ONE (STEP SUM ZERO)
                                 -- (1)
       ((\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ZERO)
 =b> ADD ONE ((n \rightarrow \text{ITE (ISZ } n) \text{ ZERO (ADD } n \text{ (SUM (DEC } n)))) ZERO)
 =b> ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM (DEC ZERO))))
 =b> ADD ONE ZERO
 =~> ONE
```

#### λ-calculus: Fixpoint Combinator

- So how do we define FIX?
- Remember  $\Omega$ ? It *replicates itself!*

```
(\x \rightarrow x \x) (\x \rightarrow x \x)
=b> (\x \rightarrow x \x) (\x \rightarrow x \x)
```

• We need something similar but more involved.

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### λ-calculus: Fixpoint Combinator

• The Y combinator discovered by Haskell Curry:

```
let FIX = \stp \rightarrow (\x \rightarrow stp (x x)) (\x \rightarrow stp (x x))
```

How does it work?

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### Programming in $\lambda$ -calculus

- Real languages have lots of features
  - Booleans [done]
  - Records (structs, tuples) [done]
  - Numbers [done]
  - Functions [we got those]
  - Recursion [done]

### Next time: Intro to Haskell

