#### CSE 116: Fall 2019

# Introduction to Functional Programming

# Polymorphism and Type Inference

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Based on course materials developed by Nadia Polikarpova

# Roadmap

#### Past two weeks:

How do we implement a tiny functional language?

- 1. Interpreter: how do we evaluate a program given its AST?
- 2. Parser: how do we convert strings to ASTs?

#### This week: adding types

How do we check statically if our programs "make sense"?

- 1. Type system: formalizing the intuition about which expressions have which types
- 2. Type inference: computing the type of an expression

2

### Reminder: Nano2

## Reminder: Nano2

Which one of these Nano2 programs is well-typed? \*

(A) (\x -> x) + 1

(B) 1 2

(C) let  $f = \x -> x + 1 \text{ in } f (\y -> y)$ 

 $\bigcirc (D) \x -> \y -> x y$ 

(D) (\y -> 1 + y) (1 + 2) => 1 + 1 + 2

(E) \x → x x



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4

# Reminder: Nano2

Which one of these Nano2 programs is well-typed? \*

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(E) \x → x x



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5

# QUIZ

Answer: D.

A adds a function;

B applies a number;

C defines f to take an Int and then passes in a function;

E requires a type  $\mathsf{T}$  that is equal to  $\mathsf{T} \to \mathsf{T}$ , which doesn't exit.

# Type system for Nano2

A type system defines what types an expression can have

To define a type system we need to define:

- the syntax of types: what do types look like?
- the static semantics of our language (i.e. the typing rules): assign types to expressions

7

# Type system: take 1

```
Syntax of types:
```

```
T ::= Int -- integers
| T1 -> T2 -- function types
```

Now we want to define a typing relation e :: T (e has type T)

We define this relation inductively through a set of typing rules:

What is the type of a variable?

We have to remember what type of expression it was bound to!

Type Environment

An expression has a type in a given **type environment** (also called **context**), which maps all its *free variables* to their *types* 

```
G = x1:T1, x2:T2, ..., xn:Tn
```

Our typing relation should include the context **G**:

```
G | - e :: T (e has type T in context G)
```

# Typing rules: take 2

```
[T-Num] G |- n :: Int

G |- e1 :: Int G |- e2 :: Int

[T-Add] G |- e1 + e2 :: Int

[T-Var] G |- x :: T if x:T in G

[T-Abs] G,x:T1 |- e :: T2

[T-Abs] G |- \lambda -> e :: T1 -> T2

[T-App] G |- e1 :: T1 -> T2 G |- e2 :: T1

[T-App] G |- e1 :: T1 G,x:T1 |- e2 :: T2

[T-Let] G |- let x = e1 in e2 :: T2
```

10

# Typing rules

```
G \mid - e :: T   
An expression e has type T in G if we can derive G \mid - e :: T using these rules   
An expression e is well-typed in G if we can derive G \mid - e :: T for some type T
```

• and ill-typed otherwise

11

# **Examples**

## **Examples**

13

# **Examples**

#### Example 3:

We cannot derive: []  $|-(\x -> x \x)$  :: T for any type T

We cannot find any type  $\mathsf{T}$  to fill in for  $\mathsf{x}$ , because it has to be equal to  $\mathsf{T} \to \mathsf{T}$ 

14

# A note about typing rules

According to these rules, an expression can have zero, one, or many types

- examples?
- 1 2 has no types; 1 has one type (Int)

 $\xspace x$  has many types:

- we can derive [] |- \x -> x :: Int -> Int
   or [] |- \x -> x :: (Int -> Int) -> (Int -> Int)
- or T -> T for any concrete T

We would like every well-typed expression to have a single most general type!

- most general type = allows most uses
- infer type once and reuse later

Is this program well-typed according to your intuition and according to our rules?  $\mbox{\ensuremath{\star}}$ 

- (A) Me: okay, rules: okay
- (B) Me: okay, rules: nope
- (C) Me: nope, rules: okay
- (D) Me: nope, rules: nope



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16

# QUIZ

Is this program well-typed according to your intuition and according to our rules? \*

- (A) Me: okay, rules: okay
- (B) Me: okay, rules: nope
- (C) Me: nope, rules: okay
- (D) Me: nope, rules: nope



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17

# QUIZ

Answer: B.

# Double identity

```
let id = \x -> x in
let y = id 5 in
  id (\z -> z + y)
```

Intuitively this program looks okay, but our type system rejects it:

- in the first application, id needs to have type Int -> Int
- in the second application, id needs to have type (Int -> Int) -> (Int -> Int)
- the type system forces us to pick *just one type* for each variable, such as id :(

What can we do?

19

# Polymorphic types

Intuitively, we can describe the type of id like this:

- it's a function type where
- the argument type can be any type T
- the return type is then also T

20

# Polymorphic types

We formalize this intuition as a polymorphic type: for all a . a  $\rightarrow$  a

- where a is a (bound) type variable
- · also called a type scheme
- Haskell also has polymorphic types, but you don't usually write  $forall\ a.$

We can instantiate this scheme into different types by replacing a in the body with some type, e.g.

- instantiating with  $\underline{\textbf{Int}}$  yields  $\underline{\textbf{Int}}$  ->  $\underline{\textbf{Int}}$
- instantiating with Int -> Int yields (Int -> Int) -> Int -> Int
- etc.

## Inference with polymorphic types

```
With polymorphic types, we can derive e :: Int -> Int where e is
let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)
```

At a high level, inference works as follows:

- 1. When we have to pick a type T for x, we pick a fresh type variable a
- 2. So the type of  $\x -> x$  comes out as a -> a
- 3. We can generalize this type to forall  $a \cdot a \rightarrow a$
- 4. When we apply id the first time, we instantiate this polymorphic type with Int
- When we apply id the second time, we instantiate this polymorphic type with Int ->Int

Let's formalize this intuition as a type system!

22

# Type system: take 3

#### Syntax of types

#### Type Environment

The type environment now maps variables to poly-types: G: Var -> Poly

```
• example, G = [z: Int, id: forall a . a -> a]
```

23

# Type system: take 3

#### Type Substitutions

We need a mechanism for replacing all type variables in a type with another type

A type substitution is a finite map from type variables to types:  $\mbox{U} : \mbox{TVar} \mbox{-} \mbox{\footnote{Type}}$ 

```
example: U1 = [a / Int, b / (c -> c)]
```

To apply a substitution  ${\bf U}$  to a type  ${\bf T}$  means replace all type vars in  ${\bf T}$  with whatever they are mapped to in  ${\bf U}$ 

```
    example 1: U1 (a -> a) = Int -> Int
    example 2: U1 Int = Int
```

What is the result of the following substitution application? \*

- (A) c → d → c
- (B) (c -> c) -> d -> (c -> c)
- (C) Error: no mapping for type variable d
- (D) Error: type variable a is unused



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25

# QUIZ

What is the result of the following substitution application? \*

- (A) c → d → c
- $\bigcirc$  (B) (c -> c) -> d -> (c -> c)
- (C) Error: no mapping for type variable d
- (D) Error: type variable a is unused



http://tiny.cc/cse116-subst-grp

26

# QUIZ

Answer: B

# Typing rules

We need to change the typing rules so that:

1. Variables (and their definitions) can have polymorphic types

28

# Typing rules

2. We can instantiate a type scheme into a type

3. We can *generalize* a type with free type variables into a type scheme

```
G |- e :: S

[T-Gen] ----- if not (a in FTV(G))

G |- e :: forall a . S
```

29

# Typing rules

The rest of the rules are the same:

# **Examples**

31

# Examples

32

# Examples

```
Example 3
Finally, we can derive:
(let id = \x -> x in
  let y = id 5 in
  id (\z -> z + y)) :: Int -> Int
```

## **Examples**

```
| Casy |
```

# Type inference algorithm

Our ultimate goal is to implement a Haskell function infer which

- given a context G and an expression e
- returns a type T such that G | e :: T
- or reports a type error if e is ill-typed in G

35

### Representing types

First, let's define a Haskell datatype to represent Nano2 types:

#### Inference: main idea

Let's implement infer like this:

- 1. Depending on what kind of expression e is, find a typing rule that applies to it
- If the rule has premises, recursively call infer to obtain the types of subexpressions
- 3. Combine the types of sub-expression according to the conclusion of the rule
- 4. If no rule applies, report a type error

37

#### Inference: main idea

```
-- | This is not the final version!!!

infer :: TypeEnv -> Expr -> Type

infer _ (ENum _) = TInt

infer tEnv (EVar var) = lookup var tEnv

infer tEnv (EAdd e1 e2) =

if t1 == TInt && t2 == TInt

then return TInt

else throw "type error: + expects Int operands"

where

t1 = infer tEnv e1

t2 = infer tEnv e2
```

This doesn't quite work (for other cases). Why?

38

# Inference: tricky bits

The trouble is that our typing rules are nondeterministic!

• When building derivations, sometimes we had to guess how to proceed

Problem 1: Guessing a type

# Inference: tricky bits

```
Problem 1: Guessing a type
So, if we want to implement
infer tEnv (ELam x e) = tX :=> tBody
where
   tEnv' = extendTEnv x tX tEnv
   tX = ??? -- what do we put here?
   tBody = infer tEnv' e
```

40

# Inference: tricky bits

Problem 2: Guessing when to generalize

In the derivation for

```
(let id = \x -> x in
let y = id 5 in
id (\z -> z + y)) :: Int -> Int
```

we had to guess that the type of id should be generalized into

forall a . a -> a

Let's deal with problem 1 first

41

# Constraint-based type inference

```
-- oh, now we know!

[T-Var]------

[x:?] |- x: Int [x:?] |- 1 :: Int

[T-Add]------

[x:?] |- x + 1 :: ?? -- what should "?" be?

[T-Abs]------

[] |- (\x -> x + 1) :: ? -> ??
```

#### Main idea:

- 1. Whenever you need to "guess" a type, don't.
  - o just return a fresh type variable
  - fresh = not used anywhere else in the program
- Whenever a rule imposes a constraint on a type (i.e. says it should have certain form):
  - try to find the right substitution for the free type vars to satisfy the constraint
  - o this step is called unification

### Example

```
Let's infer the type of \x -> x + 1:
-- TEnv
            Expression
                         Step
                                        Subst
                                                  Inferred type
1 []
                         [T-Abs]
                                        []
2 [x:a0]
                         [T-Add]
                          [T-Var]
4
                  x + 1 unify a0 Int [a0/Int]
5 [x:Int]
                     1 [T-Num]
                  x + 1
                         unify Int Int
                  x + 1
                                                  Int
8 []
            \x \rightarrow x + 1
                                                  Int -> Int
```

43

## Example

- 1. Infer the type of ( $x \rightarrow x + 1$ ) in [] (apply [T-Abs])
- 2. For the type of x, pick fresh type variable (say, a0); infer the type of x + 1 in [x:a0](apply [T-Add])
- 3. Infer the type of x in [x:a0] (apply [T-Var]); result: a0
- [T-Add] imposes a constraint: its LHS must be of type Int, so unify a0 and Int and update the current substitution to [a0 / Int]
- 5. Apply the current substitution [a0/Int] to the type environment [x:a0] to get [x:Int]. Infer the type of 1 in [x:Int] (apply [T-Num]); result: Int
- [T-Add] imposes a constraint: its RHS must be of type Int, so unify Int and Int; current substitution doesn't change\
- 7. By conclusion of [T-Add]: return Int as the inferred type\
- 8. By conclusion of [T-Lam]: return Int  $\rightarrow$  Int as the inferred type

44

#### Unification

The unification problem: given two types T1 and T2, find a type substitution U such that U T1 =U T2.

Such a substitution is called a *unifier* of T1 and T2

#### Examples:

The unifier of:

```
and Int
                          is [a / Int]
a -> a
         and Int -> Int is [a / Int]
a -> Int and Int -> b
                          is [a / Int, b / Int]
Int
          and Int
                          is []
                          is []
          and a
          and Int -> Int cannot unify!
Int
                          cannot unify!
Int
          and a \rightarrow a
          and a -> a
                          cannot unify!
```

What is the unifier of the following two types? \*

2. b -> c

(A) Cannot unify

(B) [a / Int, b / Int -> Int, c / Int]

(C) [a / Int, b / Int, c / Int -> Int]

(D) [b / a, c / Int -> Int]

(E) [a / b, c / Int -> Int]



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46

# QUIZ

What is the unifier of the following two types?\*

2. b -> c

(A) Cannot unify

(B) [a / Int, b / Int -> Int, c / Int]

(C) [a / Int, b / Int, c / Int -> Int]

(D) [b / a, c / Int -> Int]

(E) [a / b, c / Int -> Int]



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47

## QUIZ

(C), (D) and (E) are all unifiers!

But somehow (D) and (E) are better than (C)

- they make the *least commitment* required to make these types equal
- this is called the most general unifier

#### Infer: take 2

```
Let's add constraint-based typing to infer!
```

```
-- | Now has to keep track of current substitution!

infer :: Subst -> TypeEnv -> Expr -> (Subst, Type)

infer sub _ (ENum _) = (sub, TInt)

infer sub tEnv (EVar var) = (sub, lookup var tEnv)

-- Lambda case: simply generate fresh type variable!

infer sub tEnv (ELam x e) = (sub1, tX' :=> tBody)

where

tEnv' = extendTEnv x tX tEnv

tX = freshTV -- we'll get to this

(sub1, tBody) = infer sub tEnv' e

tX' = apply sub1 tX
```

49

### Infer: take 2

```
-- Add case: recursively infer types of operands
-- and enforce constraint that they are both Int
infer sub tEnv (EAdd e1 e2) = (sub4, TInt)
where

(sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
sub2 = unify sub1 t1 Int -- 2. constraint: t1 is Int
tEnv' = apply sub2 tEnv -- 3. apply subst to context
(sub3, t2) = infer sub2 tEnv' e2 -- 4. infer e2 type in new ctx
sub4 = unify sub3 t2 Int -- 5. constraint: t2 is Int
```

Why are all these steps necessary? Can't we just return (sub, TInt)?

50

#### QUIZ

Which of these programs will type-check if we skip step 3?\*

```
infer sub tEnv (EAdd e1 e2) = (sub4, TInt)
where

(sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
sub2 = unify sub1 t1 Int -- 2. enforce constraint: t1 is Int
tEnv' = apply sub2 tEnv -- 3. apply substitution to context
(sub3, t2) = infer sub2 tEnv' e2 -- 4. infer type of e2 in new ctx
sub4 = unify sub3 t2 Int -- 5. enforce constraint: t2 is Int
```

- (A) 1 2 + 3
- (B) 1 + 2 3
- (C) (\x -> x) + 1
- (D) 1 + (\x -> x)
- (E) \x -> x + x 5

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```
Which of these programs will type-check if we skip step 3? \stackrel{\star}{\cdot}
```

infer sub tEnv (EAdd e1 e2) = (sub4, TInt)

```
where
    (sub1, t1) = infer sub tEnv e1 -- 1. infer type of e1
    sub2 = unify sub1 t1 Int -- 2. enforce constraint: t1 is Int
    tEnv' = apply sub2 tEnv -- 3. apply substitution to context
    (sub3, t2) = infer sub2 tEnv' e2 -- 4. infer type of e2 in new ctx
    sub4 = unify sub3 t2 Int -- 5. enforce constraint: t2 is Int

(A) 1 2 + 3

(B) 1 + 2 3

(C) (\(\text{(X}\to \times \times \text{)}\times \text{)}

(D) 1 + (\(\text{(X}\to \times \times \text{)}\times \text{)}

(E) \(\text{(X}\to \times \times \times \text{)}

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```

# QUIZ

```
Answer: E.
```

A fails in step 1 (LHS is ill-typed);
B fails in step 4 (RHS is ill-typed);
C fails in step 2 (LHS is not Int);
D fails in step 5 (RHS is not Int);

finally, E should fails because LHS and RHS by themselves are fine, but not together!

53

52

# Fresh type variables

```
-- | Now has to keep track of current substitution!
infer :: Subst -> TypeEnv -> Expr -> (Subst, Type)

-- Lambda case: simply generate fresh type variable!
infer tEnv (ELam x e) = tX :=> tBody
where

tEnv' = extendTEnv x tX tEnv

tX = freshTV -- how do we do this?
tBody = infer tEnv' e
```

#### Intended behavior:

- First time we call freshTV it returns a0
- Second time it returns a1
- .. and so on

Can we do that in Haskell?

No, Haskell is pure. Have to thread the counter through :(

## Polymorphism: the final frontier

#### Back to double identity:

- When should we to generalize a type like a -> a into a polymorphic type like forall a .a -> a?
- When should we instantiate a polymorphic type like forall
- a . a -> a and with what?

55

# Polymorphism: the final frontier

#### Generalization and instantiation:

- Whenever we infer a type for a let-defined variable, generalize it!
  - it's safe to do so, even when not strictly necessary
- Whenever we see a variable with a polymorphic type, instantiate it
  - with what type?
  - $_{\circ}\;$  well, what do we use when we don't know what type to use?
  - fresh type variables!

56

57

# Example

Here tEnv = [id : forall a0.a0->a0]

```
Let's infer the type of let id = \xspace x -> x in id 5:
-- TEnv Expression
                                                              Туре
        let id=\x->x in id 5 [T-Let]
                                              []
1 []
  [x:a0]
                               [T-Var]
                \x->x
                                                             a0 -> a0
        let id=\x->x in id 5
                               generalize a0
                        id 5
                              [T-App]
                        id
                               [T-Var]
                        id
                               instantiate
                                                             a1 -> a1
                              [T-Num]
10
                        id 5 unify (a1->a1)
                                    (Int->a2) [a1/Int,a2/Int]
                        id 5
        let id=\x->x in id 5
```

## What we learned this week

Type system: a set of rules about which expressions have which types

Type environment (or context): a mapping of variables to their types

**Polymorphic type:** a type parameterized with type variables that can be instantiated with any concrete type

Type substitution: a mapping of type variables to types; you can apply a substitution to a type by replacing all its variables with their values in the substitution

**Unifier** of two types: a substitution that makes them equal; **unification** is the process of finding a unifier

58

#### What we learned this week

Type inference: an algorithm to determine the type of an expression

**Constraint-based type inference:** a type inference technique that uses fresh type variables and unification

**Generalization:** turning a mono-type with free type variables into a polymorphic type (by binding its variables with a forall)

**Instantiation:** turning a polymorphic type into a mono-type by substituting type variables in its body with some types