CSE 114A: Fall 2021

Introduction to Functional Programming

Lambda Calculus

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Based on course materials developed by Ranjit Jhala

Your favorite language

- Probably has lots of features:
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals
 - Loops, return, break, continue
 - Functions
 - Recursion
 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

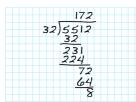
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Your favorite language

- Probably has lots of features:
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals
 - Which ones can we do without?
 - What is the smallest universal language?
 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

What is computable?

- Prior to 1930s
 - Informal notion of an effectively calculable function:



One that can be computed by a human with pen and paper, following an algorithm

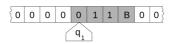
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What is computable?

• 1936: Formalization



Alan Turing: Turing machines



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What is computable?

• 1936: Formalization



Alonzo Church: lambda calculus

The Next 700 Languages

• Big impact on language design!



Whatever the next 700 languages turn out to be, they will surely be variants of lambda calculus.

Peter Landin, 1966

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Your favorite language

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 - Assignment (x = x + 1)
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 - Inheritance
 - ... and more

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The Lambda Calculus

- Features
 - Functions
 - (that's it)

The Lambda Calculus

- · Seriously...
 - Assignment (x = x + 1)
 - Booleans, integers, characters, strings,...
 - Conditionals
 - Loops, return, break, continue
 - Functions
 - Recursion
 - References / pointers
 - Objects and classes
 - Inheritance
 - ... and more

The only thing you can do is:

Define a function

Call a function

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Describing a Programming Language

- Syntax
 - What do programs look like?
- Semantics
 - What do programs mean?
 - Operational semantics:
 - How do programs execute step-by-step?

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Syntax: What programs look like

- Programs are *expressions* e (also called λ -terms)
- Variable: x, y, z
- Abstraction (aka nameless function definition):
 - \x -> e "for any x, compute e"
 - x is the formal parameter, e is the body
- Application (aka function call):
 - e1 e2 "apply e1 to e2"
 - e1 is the function, e2 is the argument

Examples

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

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QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

- B. \x -> x x
- C. \x -> x (y x)
- O A and C
- All of the above



http://tiny.cc/cse116-lambda-ind

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QUIZ: Lambda syntax

Which of the following terms are syntactically incorrect? *

- B. \x -> x x
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- O A and C
- O All of the above



http://tiny.cc/cse116-lambda-grp

Examples

```
-- The identity function ("for any x compute x")
\x -> x

-- A function that returns the identity function
\x -> (\y -> y)

-- A function that applies its argument to
-- the identity function
\f -> f (\x -> x)
```

- How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

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Examples

```
-- A function that returns the identity function (x \rightarrow (y \rightarrow y))
```

OR: a function that takes two arguments and returns the second one!

- How do I define a function with two arguments?
 - e.g. a function that takes x and y and returns y

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Examples

- How do I apply a function to two arguments?
 - e.g. apply $x \rightarrow (y \rightarrow y)$ to apple and banana?

```
-- first apply to apple, then apply the result to banana  ((((x \rightarrow (y \rightarrow y)) \text{ apple}) \text{ banana})
```

Syntactic Sugar

Convenient notation used as a shorthand for valid syntax

instead of	we write
\x -> (\y -> (\z -> e))	\x -> \y -> \z -> e
\x -> \y -> \z -> e	\x y z -> e
(((e1 e2) e3) e4)	e1 e2 e3 e4

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Semantics: What programs mean

- How do I "run" or "execute" a λ -term?
- Think of middle-school algebra:

```
-- Simplify expression:
(x + 2)*(3*x - 1)
=
????
```

• **Execute** = rewrite step-by-step following simple rules until no more rules apply

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Rewrite rules of lambda calculus

- 1. α-step (aka renaming formals)
- 2. B-step (aka function call)

But first we have to talk about **scope**

Semantics: Scope of a Variable

- The part of a program where a variable is visible
- In the expression \x -> e
 - x is the newly introduced variable
 - e is the scope of x
 - any occurrence of x in \x -> e is bound (by the binder \x)

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Semantics: Scope of a Variable

• For example, x is **bound** in:

```
\x -> x
\x -> (\y -> x)
```

- An occurrence of x in e is **free** if it's *not bound* by an enclosing abstraction
- For example, x is **free** in:

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QUIZ: Variable scope

In the expression (\x -> x) x, is x bound or free? *

A. bound

B. free

C. first occurrence is bound, second is free

D. first occurrence is bound, second and third are free

E. first two occurrences are bound, third is free

http://tiny.cc/cse116-scope-ind

QUIZ: Variable scope

E. first two occurrences are bound, third is free

In the expression (\x -> x) x, is x bound or free? *

A. bound

B. free

C. first occurrence is bound, second is free

D. first occurrence is bound, second and third are free

http://tiny.cc/cse116-scope-grp

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Free Variables

- An variable x is **free** in **e** if there exists a free occurrence of **x** in **e**
- We can formally define the set of all free variables in a term like so:

```
FV(x) = ???
FV(\x -> e) = ???
FV(e1 e2) = ???
```

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Free Variables

- An variable x is **free** in **e** if there exists a free occurrence of **x** in **e**
- We can formally define the set of all free variables in a term like so:

```
FV(x) = \{x\}
FV(x \rightarrow e) = FV(e) \setminus \{x\}
FV(e1 e2) = FV(e1) \cup FV(e2)
```

Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - **Q:** What is the *shortest* closed expression?

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Closed Expressions

- If e has no free variables it is said to be closed
- Closed expressions are also called combinators
 - **Q:** What is the *shortest* closed expression?
 - **A:** \x -> x

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Rewrite rules of lambda calculus

- 1. α -step (aka renaming formals)
- 2. B-step (aka function call)

Semantics: B-Reduction

```
(\x -> e1) e2 =b> e1[x := e2]
```

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- Computation by search-and-replace:
 - If you see an abstraction applied to an argument, take the body of the abstraction and replace all free occurrences of the formal by that argument
 - We say that $(\x -> e1)$ e2 *B-steps* to e1[x := e2]

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Examples

```
(\x -> x) apple
=b> apple

Is this right? Ask Elsa!
```

```
(\f -> f (\x -> x)) (give apple) =b> ???
```

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Examples

```
(\x -> x) apple
=b> apple
```

Is this right? Ask Elsa!

```
(\f \rightarrow f (\x \rightarrow x)) (give apple)
=b> give apple (\x \rightarrow x)
```

QUIZ: B-Reduction 1

(\x -> (\y -> y)) apple =b>???*

A. apple

B. \y -> apple

C. \x -> apple

D. \y -> y

http://tiny.cc/cse116-beta1-ind

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QUIZ: B-Reduction 1

○ E. \x -> y

(\x -> (\y -> y)) apple =b>??? *

A. apple

B. \y -> apple

C. \x -> apple

D. \y -> y

E. \x -> y

http://tiny.cc/cse116-beta1-grp

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QUIZ: B-Reduction 2

(\x -> x (\x -> x)) apple =b>??? *

A. apple (\x -> x)

B. apple (\apple -> apple)

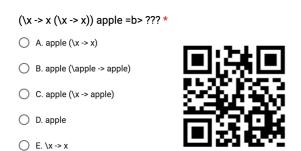
C. apple (\x -> apple)

D. apple

E. \x -> x

http://tiny.cc/cse116-beta2-ind

QUIZ: B-Reduction 2



http://tiny.cc/cse116-beta2-grp

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A Tricky One

```
(\x -> (\y -> x)) y
=b> \y -> y
```

Is this right?

Problem: the free y in the argument has

been *captured* by \y!

Solution: make sure that all *free variables* of the argument are different from the *binders* in the body.

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Capture-Avoiding Substitution

• We have to fix our definition of *B*-reduction:

```
(\x -> e1) e2 =b> e1[x := e2]
```

where e1[x := e2] means "e1 with all free occurrences of x replaced with e2"

- e1 with all *free* occurrences of x replaced with e2, as long as no free variables of e2 get captured
- undefined otherwise

Capture-Avoiding Substitution

Formally:

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Rewrite rules of lambda calculus

- 1. α -step (aka renaming formals)
- 2. B-step (aka function call)

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Semantics: α-Reduction

```
\x -> e =a> \y -> e[x := y]
where not (y in FV(e))
```

- We can rename a formal parameter and replace all its occurrences in the body
- We say that $(\x -> e)$ a-steps to $(\y -> e[x := y])$

Semantics: α -Reduction

```
\x -> e =a> \y -> e[x := y]
where not (y in FV(e))
```

• Example:

```
\x \rightarrow x = a \ \y \rightarrow y = a \ \z \rightarrow z
```

• All these expressions are α -equivalent

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Example

What's wrong with these?

```
-- (A)
\f -> f x =a> \x -> x x

-- (B)
(\x -> \y -> y) y =a> (\x -> \z -> z) z

-- (C)
\x -> \y -> x y =a> \apple -> \orange -> apple orange
```

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The Tricky One

```
(\x -> (\y -> x)) y
=a> ???
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

The Tricky One

```
(\x -> (\y -> x)) y
=a> (\x -> (\z -> x)) y
=b> \z -> y
```

To avoid getting confused, you can always rename formals, so that different variables have different names!

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Normal Forms

A **redex** is a λ -term of the form

$$(\x -> e1) e2$$

A λ -term is in **normal form** if it contains no redexes.

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QUIZ: Normal form

Which of the following terms are not in normal form?*

- A. x
- B. x y
- O. (\x -> x) y
- O. x (\y -> y)
- O E. C and D



http://tiny.cc/cse116-norm-ind

QUIZ: Normal form

Which of the following terms are not in normal form?*



○ B. x y

O. (\x -> x) y

O. x (\y -> y)

E. C and D



http://tiny.cc/cse116-norm-grp

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Semantics: Evaluation

- A λ-term e evaluates to e' if
 - 1. There is a sequence of stops

```
e =?> e_1 =?> ... =?> e_N =?> e'
```

where each =?> is either =a> or =b> and N >= 0

2. e' is in normal form

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Example of evaluation

```
(\x -> x) apple
=b> apple

(\f -> f (\x -> x)) (\x -> x)
=?> ???

(\x -> x x) (\x -> x)
=?> ???
```

Example of evaluation

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Example of evaluation

```
(\x -> x) apple

=b> apple

(\f -> f (\x -> x)) (\x -> x)

=b> (\x -> x) (\x -> x)

=b> \x -> x

(\x -> x x) (\x -> x)

=b> (\x -> x) (\x -> x)

=b> \x -> x
```

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Elsa shortcuts

• Named λ-terms

```
let ID = \x -> x -- abbreviation for <math>\x -> x
```

• To substitute a name with its definition, use a =d> step:

```
ID apple
=d> (\x -> x) apple -- expand definition
=b> apple -- beta-reduce
```

Elsa shortcuts

- Evaluation
 - e1 =*> e2: e1 reduces to e2 in 0 or more steps
 - where each step is =a>, =b>, or =d>
 - e1 =~> e2: e1 evaluates to e2
- What is the difference?

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Non-Terminating Evaluation

```
(\x -> x x) (\x -> x x)
=b> (\x -> x x) (\x -> x x)
```

- Oh no... we can write programs that loop back to themselves
- And never reduce to normal form!
- \bullet This combinator is called Ω

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Non-Terminating Evaluation

 $\bullet \ \ \mbox{What if we pass } \Omega \ \mbox{as an argument to another} \\ \mbox{function?}$

```
let OMEGA = (\x -> x \x) (\x -> x \x)
(\x -> \y -> y) OMEGA
```

• Does this reduce to a normal form? Try it at home!

Programming in λ -calculus

- Real languages have lots of features
 - Booleans
 - Records (structs, tuples)
 - Numbers
 - Functions [we got those]
 - Recursion
- Let's see how to encode all of these features with the λ -calculus.

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λ-calculus: Booleans

- How can we encode Boolean values (TRUE and FALSE) as functions?
- Well, what do we **do** with a Boolean **b**?
 - We make a binary choice

if b then e1 else e2

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Booleans: API

• We need to define three functions

```
let TRUE = ???
let FALSE = ???
let ITE = \b x y -> ??? -- if b then x else y
such that

ITE TRUE apple banana =~> apple
ITE FALSE apple banana =~> banana

(Here, let NAME = e means NAME is an abbreviation for e)
```

Booleans: Implementation

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Example: Branches step-by-step

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Example: Branches step-by-step

- Now you try it!
- Can you fill in the blanks to make it happen?
 - http://goto.ucsd.edu:8095/index.html#?demo=ite.lc

```
eval ite_false:
   ITE FALSE e1 e2
   -- fill the steps in!
   =b> e2
```

Example: Branches step-by-step

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Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ???
let AND = \b1 b2 -> ???
let OR = \b1 b2 -> ???
```

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Boolean operators

 Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> ITE b FALSE TRUE

let AND = \b1 b2 -> ITE b1 b2 FALSE

let OR = \b1 b2 -> ITE b1 TRUE b2
```

Boolean operators

• Now that we have ITE it's easy to define other Boolean operators:

```
let NOT = \b -> b FALSE TRUE

let AND = \b1 b2 -> b1 b2 FALSE

let OR = \b1 b2 -> b1 TRUE b2
```

- (since ITE is redundant)
- Which definition to do you prefer and why?

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples)
 - Numbers
 - Functions [we got those]
 - Recursion

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λ-calculus: Records

- Let's start with records with two fields (aka pairs)?
- Well, what do we **do** with a pair?
 - 1. Pack two items into a pair, then
 - 2.Get first item, or
 - 3.Get second item.

Pairs: API

• We need to define three functions

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Pairs: Implementation

 A pair of x and y is just something that lets you pick between x and y! (I.e. a function that takes a boolean and returns either x or y)

```
let PAIR = \x y -> (\b -> ITE b x y)
let FST = \p -> p TRUE -- call w/ TRUE, get 1st value
let SND = \p -> p FALSE -- call w/ FALSE, get 2nd value
```

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Exercise: Triples?

 How can we implement a record that contains three values?

```
let TRIPLE = \x y z -> ???
let FST3 = \t -> ???
let SND3 = \t -> ???
let TRD3 = \t -> ???
```

Exercise: Triples?

 How can we implement a record that contains three values?

```
let TRIPLE = \x y z -> PAIR x (PAIR y z)
let FST3 = \t -> FST t
let SND3 = \t -> FST (SND t)
let TRD3 = \t -> SND (SND t)
```

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers
 - Functions [we got those]
 - Recursion

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λ-calculus: Numbers

- Let's start with natural numbers (0, 1, 2, ...)
- What do we do with natural numbers?
 - 1. **Count**: 0, inc
 - 2. Arithmetic: dec, +, -, *
 - 3. Comparisons: ==, <=, etc

Natural Numbers: API

```
• We need to define:
```

```
    A family of numerals: ZERO, ONE, TWO, THREE, ...
    Arithmetic functions: INC, DEC, ADD, SUB, MULT
    Comparisons: IS_ZERO, EQ
    Such that they respect all regular laws of arithmetic, e.g.
```

```
IS_ZERO ZERO =~> TRUE
IS_ZERO (INC ZERO) =~> FALSE
INC ONE =~> TWO
...
```

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Pairs: Implementation

 Church numerals: a number N is encoded as a combinator that calls a function on an argument N times

```
let ONE = \f x -> f x
let TWO = \f x -> f (f x)
let THREE = \f x -> f (f (f x))
let FOUR = \f x -> f (f (f (f x)))
let FIVE = \f x -> f (f (f (f (f x))))
let SIX = \f x -> f (f (f (f (f x)))))
```

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QUIZ: Church Numerals

Which of these is a valid encoding of ZERO?*

- \bigcirc A: let ZERO = \f x -> x
- \bigcirc B: let ZERO = \f x -> f
- \bigcirc C: let ZERO = \f x -> f x
- D: let ZERO = \x -> x
- E: None of the above



http://tiny.cc/cse116-church-ind

QUIZ: Church Numerals

Which of these is a valid encoding of ZERO ? *

- \bigcirc A: let ZERO = \f x -> x
- B: let ZERO = \f x -> f
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- D: let ZERO = \x -> x
- E: None of the above



http://tiny.cc/cse116-church-grp

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λ-calculus: Increment

```
-- Call `f` on `x` one more time than `n` does
let INC = \n -> (\f x -> ???)

• Example

eval inc_zero :
   INC ZERO
   =d> (\n f x -> f (n f x)) ZERO
   =b> \f x -> f (ZERO f x)
   =*> \f x -> f x
```

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QUIZ: ADD

=d> ONE

How shall we implement ADD? *

- A. let ADD = \n m -> n INC m
- B. let ADD = \n m -> INC n m
- C. let ADD = \n m -> n m INC
- D. let ADD = \n m -> n (m INC)
- E. let ADD = \n m -> n (INC m)



http://tiny.cc/cse116-add-ind

QUIZ: ADD

How shall we implement ADD? *

- A. let ADD = \n m -> n INC m
- B. let ADD = \n m -> INC n m
- O. let ADD = \n m -> n m INC
- D. let ADD = \n m -> n (m INC)
- E. let ADD = \n m -> n (INC m)



http://tiny.cc/cse116-add-grp

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λ-calculus: Addition

```
-- Call `f` on `x` exactly `n + m` times

let ADD = \n m -> n INC m
```

Example

```
eval add_one_zero :
   ADD ONE ZERO
   =~> ONE
```

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QUIZ: MULT

How shall we implement MULT? *

- \bigcirc A. let MULT = \n m -> n ADD m
- B. let MULT = \n m -> n (ADD m) ZERO
- \bigcirc C. let MULT = \n m -> m (ADD n) ZERO
- O. let MULT = n n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



http://tiny.cc/cse116-mult-ind

QUIZ: MULT

How shall we implement MULT? *

- \bigcirc A. let MULT = \n m -> n ADD m
- B. let MULT = \n m -> n (ADD m) ZERO
- \bigcirc C. let MULT = \n m -> m (ADD n) ZERO
- O. let MULT = \n m -> n (ADD m ZERO)
- E. let MULT = \n m -> (n ADD m) ZERO



http://tiny.cc/cse116-mult-grp

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λ-calculus: Multiplication

```
-- Call `f` on `x` exactly `n * m` times

let MULT = \n m -> n (ADD m) ZERO
```

• Example

```
eval two_times_one :
   MULT TWO ONE
   =~> TWO
```

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers [done]
 - Functions [we got those]
 - Recursion

λ-calculus: Recursion

• I want to write a function that sums up natural numbers up to n:

```
n \rightarrow \dots \longrightarrow 1 + 2 + \dots + n
```

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QUIZ: SUM

Is this a correct implementation of SUM?*

http://tiny.cc/cse116-sum-ind

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QUIZ: SUM

O B. No

Is this a correct implementation of SUM?*

http://tiny.cc/cse116-sum-grp

λ-calculus: Recursion

- No! Named terms in Elsa are just syntactic sugar
- To translate an Elsa term to $\lambda\text{-calculus:}$ replace each name with its definition

- **Recursion**: Inside this function I want to call the same function on DEC n
- Looks like we can't do recursion, because it requires being able to refer to functions by name, but in λ -calculus functions are anonymous.
- Right?

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λ-calculus: Recursion

- Think again!
- Recursion: Inside this function I want to call the same function on DEC n
 - Inside this function I want to call a function on DEC n
 - And BTW, I want it to be the same function
- Step 1: Pass in the function to call "recursively"

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λ-calculus: Recursion

• Step 1: Pass in the function to call "recursively"

• Step 2: Do something clever to STEP, so that the function passed as rec itself becomes

```
\n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))
```

λ-calculus: Fixpoint Combinator

 Wanted: a combinator FIX such that FIX STEP calls STEP with itself as the first argument:

```
FIX STEP
=*> STEP (FIX STEP)
(In math: a fixpoint of a function f(x) is a point x, such that f(x) = x)
```

• Once we have it, we can define:

```
let SUM = FIX STEP
```

• Then by property of FIX we have:

```
SUM =*> STEP SUM -- (1)
```

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λ-calculus: Fixpoint Combinator

```
eval sum_one:

SUM ONE

=*> STEP SUM ONE

-- (1)

=d> (\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ONE

=b> (\n -> ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ONE

-- \land* the magic happened!

=b> ITE (ISZ ONE) ZERO (ADD ONE (SUM (DEC ONE)))

=*> ADD ONE (SUM ZERO)

-- def of ISZ, ITE, DEC, ...

=*> ADD ONE (STEP SUM ZERO)

-- (1)

=d> ADD ONE

((\rec n -> ITE (ISZ n) ZERO (ADD n (rec (DEC n)))) SUM ZERO)

=b> ADD ONE ((\n -> ITE (ISZ n) ZERO (ADD n (SUM (DEC n)))) ZERO)

=b> ADD ONE (ITE (ISZ ZERO) ZERO (ADD ZERO (SUM (DEC ZERO))))

=b> ADD ONE ZERO

=~> ONE
```

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λ-calculus: Fixpoint Combinator

- So how do we define FIX?
- Remember Ω ? It *replicates itself!*

```
(\x \rightarrow x \x) (\x \rightarrow x \x)
=b> (\x \rightarrow x \x) (\x \rightarrow x \x)
```

· We need something similar but more involved.

λ-calculus: Fixpoint Combinator

• The Y combinator discovered by Haskell Curry:

```
let FIX = \stp \rightarrow (\x \rightarrow stp (x x)) (\x \rightarrow stp (x x))
```

· How does it work?

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Programming in λ -calculus

- Real languages have lots of features
 - Booleans [done]
 - Records (structs, tuples) [done]
 - Numbers [done]
 - Functions [we got those]
 - Recursion [done]

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Next time: Intro to Haskell

